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Data Acquisition & Processing Report

Type of Survey: Navigable Area

Project Number: OPR-B370-NRT5-20

Time Frame: October - November 2020

LOCALITY

State(s):   Connecticut

General Locality: North Shore of Long Island Sound

2020

CHIEF OF PARTY
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Chief of Party: LTJG Nicholas Azzopardi
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A. System Equipment and Software

A.1 Survey Vessels

A.1.1 NRT-New London

Vessel Name	NRT-New London	
Hull Number	S3007	
Description	Aluminum Lake Assault	
Dimensions	LOA	10.38m
	Beam	2.59m
	Max Draft	0.60m
Most Recent Full Static Survey	Date	2016-04-27
	Performed By	Kevin Jordan, National Geodetic Survey

Figure 1: NOAA NRT-New London (S3007)

A.2 Echo Sounding Equipment

A.2.1 Multibeam Echosounders

A.2.1.1 Kongsberg Simrad EM 2040C

The EM 2040C operating frequency range is from 200 to 400 kHz with frequency selection in steps of 10 kHz, enabling the user to choose on the fly the best operating frequency for the application. The maximum depth range for a single head system in cold ocean water is 520 m at 200 kHz with a swath width up to 580 m. The angular coverage for 200 to 320 kHz is 130° with one sonar head, allowing coverage 4.3 times water depth. For frequencies above 320 kHz the angular coverage per head is gradually decreasing to 70° at 400 kHz. The nadir beam width is 1° x 1° at 400 kHz. The system has a maximum ping rate of 50 Hz with 400 soundings per ping.

Components of the EM 2040C include a sonar head, a processing unit, and a hydrographic workstation. Motion sensor and positioning data from the POSMV system, as well as sound speed profile data are input to the EM 2040C. All electronics are contained in the sonar head which is interfaced to the processing unit via GBit Ethernet. The processing unit also supplies 48 VDC power via the same cable. Operator control, data quality inspection, and data storage is handled by the hydrographic workstation running SIS software.

Manufacturer	Kongsberg Simrad			
Model	EM 2040C			
Inventory	S3007	Component	Sonar Head	Processing Unit	Hydrographic Workstation
		Model Number	Dual EM 2040C	Processing Unit 2U	HP RP5 Retail System Model 5810
		Serial Number	1435	20097	CZC5503RFP
		Frequency	200-400 kHz	N/A	N/A
		Calibration	2020-07-28	N/A	N/A
		Accuracy Check	2020-07-28	N/A	N/A
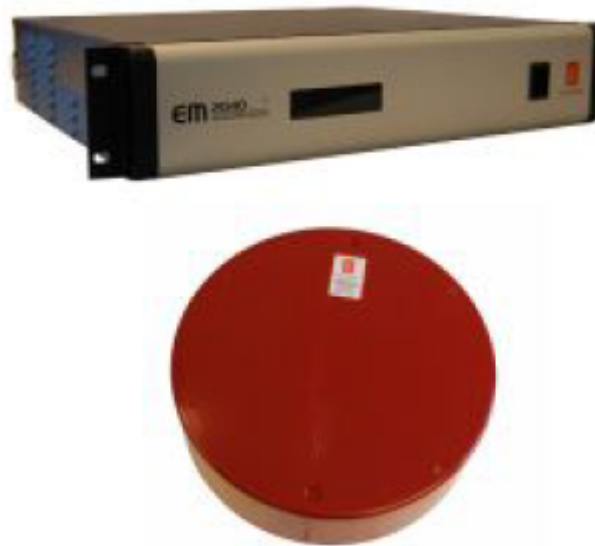
Figure 2: EM 2040C sonar head and processing unit

A.2.2 Single Beam Echosounders

No single beam echosounders were utilized for data acquisition.

A.2.3 Side Scan Sonars

A.2.3.1 EdgeTech 4215

The EdgeTech 4125 dual-frequency (400/900 kHz) side scan sonar system is a frequency-modulated (FM), dual-frequency, side scan sonar that uses EdgeTech's proprietary Full Spectrum "chirp" technology to generate high-resolution side scan imagery. Frequencies are transmitted as linearly-swept, wide-band, high energy acoustic pulses, and the received echoes are processed into high signal-to-noise (SNR) images that can be directly displayed as shades of gray color on a computer monitor. Operating ranges are 150 m at 400 kHz and 75 m at 900 kHz. Horizontal beam widths are 0.46° at 400 kHz and 0.28° at 900 kHz. The vertical beam width is 50°. Across-track resolution is 2.3 cm at 400 kHz and 1.0 cm at 900 kHz.

Components of the 4125 system are a rugged stainless steel towfish and a rack-mounted topside processing unit. The towfish contains the sonar transducer arrays and the electronics required to transmit and receive the sonar signals; to receive the downlink commands from the topside processor; and to provide the uplink side scan data, sensor data, and status information to the topside processor. The rack mount interfaces with a user supplied computer over a 10/100BaseT Ethernet connection.

Manufacturer	EdgeTech			
Model	4215			
Inventory	*S3007*	*Component*	Towfish	Processing Unit
		Model Number	4125	4125 Rack Mount Topside
		Serial Number	40421	40257
		Frequency	400/900 kHz	N/A
		Calibration	2020-07-28	N/A
		Accuracy Check	2020-07-28	N/A

Figure 3: EdgeTech 4125 towfish and rack mount processing unit.

A.2.4 Phase Measuring Bathymetric Sonars

No phase measuring bathymetric sonars were utilized for data acquisition.

A.2.5 Other Echosounders

No additional echosounders were utilized for data acquisition.

A.3 Manual Sounding Equipment

A.3.1 Diver Depth Gauges

No diver depth gauges were utilized for data acquisition.

A.3.2 Lead Lines

No lead lines were utilized for data acquisition.

A.3.3 Sounding Poles

No sounding poles were utilized for data acquisition.

A.3.4 Other Manual Sounding Equipment

No additional manual sounding equipment was utilized for data acquisition.

A.4 Horizontal and Vertical Control Equipment

A.4.1 Base Station Equipment

No base station equipment was utilized for data acquisition.

A.4.2 Rover Equipment

No rover equipment was utilized for data acquisition.

A.4.3 Water Level Gauges

No water level gauges were utilized for data acquisition.

A.4.4 Levels

No levels were utilized for data acquisition.

A.4.5 Other Horizontal and Vertical Control Equipment

No other equipment were utilized for data acquisition.

A.5 Positioning and Attitude Equipment

A.5.1 Positioning and Attitude Systems

A.5.1.1 Applanix POS MV V5

The POS MV V5 is a GNSS-aided inertial navigation system, which provides a blended position solution derived from both an Inertial Motion Unit (IMU) and an integrated GNSS receiver. The IMU and GPS receiver are complementary sensors, and data from one are used to filter and constrain errors from the other. This inter-dependence results in higher position accuracy and fewer errors. Position accuracy is displayed in real time by the POS MV software and is monitored to ensure that positioning accuracy requirements as outlined in the NOS Hydrographic Surveys Specifications and Deliverables (HSSD) were not exceeded.

In addition to position, the POS MV also provides accurate navigation and attitude data to correct for the effects of heave, pitch, roll and heading. When using differential correctors, the POS MV generates attitude data in three axes (roll, pitch and heading) to an accuracy of 0.02° or better. Heave measurements supplied by the POS MV maintain an accuracy of 5 cm or 5% of the measured vertical displacement (whichever is greater) for movements that have a period of up to 20 seconds.

Manufacturer	Applanix				
Model	POS MV V5				
Inventory	*S3007*	*Component*	PO Computer System	Primary GNSS Antenna	Secondary GNSS Antenna	Inertial Measurement Unit
		Model Number	POS MV V5	Trimble GA530	Trimble GA530	IMU 7
		Serial Number	5909	14777	14774	2437
		Calibration	2020-07-28	2020-07-28	2020-07-28	2020-07-28

Figure 4: POS MV V5 system components: IMU, POS Computer System, and two GNSS antennas

A.5.2 DGPS

DGPS equipment was not utilized for data acquisition.

A.5.3 GPS

GPS equipment was not utilized for data acquisition.

A.5.4 Laser Rangefinders

Laser rangefinders were not utilized for data acquisition.

A.5.5 Other Positioning and Attitude Equipment

No additional positioning and attitude equipment was utilized for data acquisition.

A.6 Sound Speed Equipment

A.6.1 Moving Vessel Profilers

No moving vessel profilers were utilized for data acquisition.

A.6.2 CTD Profilers

A.6.2.1 SonTek CastAway-CTD

The CastAway-CTD is a small, rugged CTD designed for profiling to depths of up to 100 m. The system achieves a 5 Hz response time, fine spatial resolution, and high accuracy, with sound speed measurements accurate within ±0.15 m/s. It uses a six electrode flow-through conductivity cell with zero external field coupled with a rapid response thermistor to attain high measurement accuracies. The instrument is simple to deploy, does not require a pump and is hydrodynamically designed to free fall rate of 1 m/s.

Each CastAway-CTD cast is referenced with both time and location using its built-in GPS receiver. Latitude and longitude are acquired both before and after each profile. Plots of conductivity, temperature, salinity and sound speed versus depth can be viewed immediately on the CastAway's integrated color LCD screen. Raw data is downloaded via Bluetooth to the launch acquisition computer for analysis and to export into SIS.

Manufacturer	SonTek	
Model	CastAway-CTD	
Inventory	*Component*	CTD
	Model Number	400100
	Serial Number	CC1433009
	Calibration	2019-07-31

Figure 5: CastAway-CTD

A.6.3 Sound Speed Sensors

A.6.3.1 AML Oceanographic Micro•X with SV•Xchange

The AML MicroX instrument with an SVXchange sensor-head provided surface sound speed data to the Kongsberg EM 2040C for beam forming and steering. The unit is mounted in a removable pole that is inserted into a bucket with water recently collected from the surface. This bucket is emptied and refilled if the sound speed has noticeably changed, a new area is getting surveyed, or when taking a CTD cast. Normally the probe would be installed on the transom between the outboards, however, due to frequent

sound velocity blowouts and an increased risk of losing the probe on an unstable mount, the bucket is the preferred method. The unit is configured to output an AML datagram to SIS.

Manufacturer	AML Oceanographic		
Model	Micro•X with SV•Xchange		
Inventory	S3007	*Component*	Sound Speed Sensor
		Model Number	Micro•X
		Serial Number	206566
		Calibration	2019-05-17

A.6.4 TSG Sensors

No surface sound speed sensors were utilized for data acquisition.

A.6.5 Other Sound Speed Equipment

No surface sound speed sensors were utilized for data acquisition.

A.7 Computer Software

Manufacturer	*Software Name*	*Version*	*Use*
Teledyne CARIS	HIPS and SIPS	11.3.8	Processing
Applanix Corporation	POSPac MMS	8.5	Processing
NOAA, Hydrographic Systems and Technology Branch (HSTB)	Pydro Explorer	19.4	Processing
Xylem	HYPACK Survey	2017	Acquisition
Xylem	HYSWEEP	2017	Acquisition
Kongsberg Maritime	Seafloor Information System	4.3.2	Acquisition
EdgeTech	DISCOVER 4125D	37.0.1.108	Acquisition
Applanix Corporation	MV-POSView	10.3	Acquisition
Sontek	CastAway CTD	1.5	Acquisition

A.8 Bottom Sampling Equipment

A.8.1 Bottom Samplers

A.8.1.1 WILDCO Petite Ponar 6"x6" All Stainless Steel

The WILDCO Petite Ponar is a Ponar type grab sampler, a commonly used sampler that is very versatile for all types of bottom sediments such as sand, gravel, and clay. This sampler features center-hinged jaws and a spring loaded pin that releases when the sampler makes impact with the bottom. It also includes an underlip attachment that cleans gravel from the jaws that would normally prevent lateral loss of sample. The top is covered with a stainless steel screen with neoprene rubber flaps which allows water to flow through for a controlled descent and less interference with the sample. It is constructed of stainless steel with zinc plated steel arms and weights. A simple pin prevents premature closing.

Figure 6: WILDCO Petite Ponar

B. System Alignment and Accuracy

B.1 Vessel Offsets and Layback

B.1.1 Vessel Offsets

All vessel offset values are stored in the Lever Arms and Mounting Angles of the POS MV, and the values in the HVF have been input as zero with the exception of the TPU, Waterline, and Dynamic Draft Fields. Values in the POS MV are in the Applanix reference frame, while the HVF values are in the CARIS reference frame, which results in the X and Y values being swapped. The signs are flipped because the POS MV has values from the reference point (the transmit transducer phase center is defined as the Reference Point [RP] for hull S3007) to the IMU, while the HVF has values from the IMU to the transmitter.
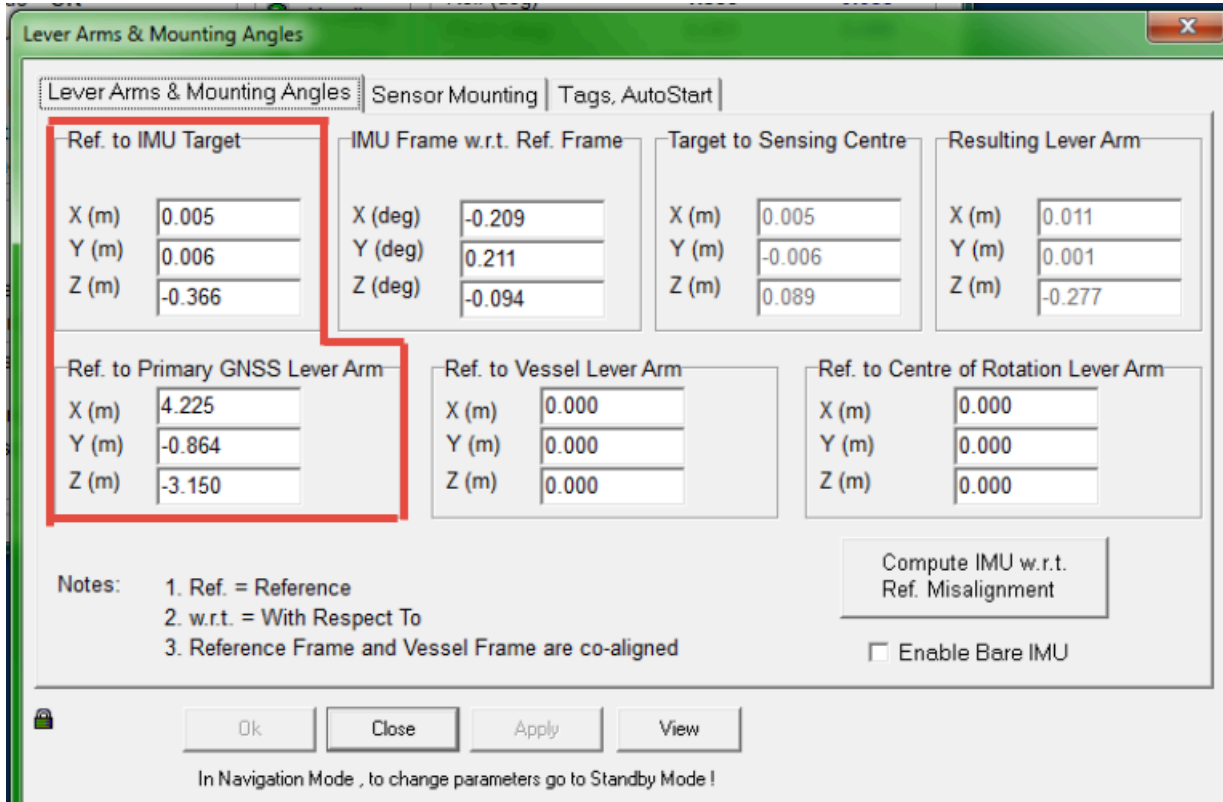
Figure 7: Vessel offset correctors input into the POS MV

B.1.1.1 Vessel Offset Correctors

Vessel	S3007				
Echosounder	Kongsberg Maritime EM 2040C				
Date	2019-05-01				
Offsets	MRU to Transducer			Measurement	Uncertainty
			x	0.005 meters	0.020 meters
			y	0.006 meters	0.020 meters
			z	-0.366 meters	0.020 meters
	Nav to Transducer		x	4.225 meters	0.020 meters
			y	-0.864 meters	0.020 meters
			z	-3.150 meters	0.020 meters
	Transducer Roll	Roll	0.000 degrees		

B.1.2 Layback

NRT-New London does not currently tow any SSS unit.

Layback correctors were not applied.

B.2 Static and Dynamic Draft

B.2.1 Static Draft

Static draft corrector values are entered in the Kongsberg SIS Installation Parameters window. In addition to being entered into the SIS Installation Parameters window, waterline values are also entered in the CARIS HVF. This waterline value in CARIS will only be used during Georeference Bathymetry. The Apply switch is also set to "No". If it is set to "Yes", the waterline value will be applied twice, once in SIS and again in Merge.

B.2.1.1 Static Draft Correctors

Vessel	NRT5_S3007_EM2040C
Date	2019-05-01
Loading	0.015 meters
Static Draft · Measurement	-0.545 meters
Static Draft · Uncertainty	0.024 meters

B.2.2 Dynamic Draft

The most well executed and documented ERDDM was completed by NRT-1. This model was suggested for use by HSTB for all NRT vessels, and therefore NRT-5 has used this (Cubic) model in their CARIS HVF

B.2.2.1 Dynamic Draft Correctors

Vessel	NRT5_S3007_EM2040C	
Date	2019-05-01	
Dynamic Draft	Speed (m/s)	Draft (m)
	0.00	0.00
	0.50	0.00
	1.00	0.01
	1.50	0.01
	2.00	0.02
	2.50	0.03
	3.00	0.05
	3.50	0.07
	4.00	0.10
	4.50	0.13
	5.00	0.17
Uncertainty	Vessel Speed (m/s)	Delta Draft (m)
	0.50	0.03

B.3 System Alignment

B.3.1 System Alignment Methods and Procedures

Patch Test values were acquired using methods recommended by the manufacturer. All system alignment correctors are stored in the Lever Arms and Mounting Angles of the POS MV, and all corresponding values in the HVF have been input as zero.

Figure 8: System alignment correctors input into the POS MV

B.3.1.1 System Alignment Correctors

Vessel	NRT5_S3007_EM2040C		
Echosounder	Kongsberg EM2040C 300kHz Unknown		
Date	2020-07-28		
Patch Test Values		Corrector	Uncertainty
	Transducer Time Correction	0.000 seconds	0.001 seconds
	Navigation Time Correction	0.000 seconds	0.001 seconds
	Pitch	-0.209 degrees	0.200 degrees
	Roll	-0.094 degrees	0.200 degrees
	Yaw	0.211 degrees	0.200 degrees
	Pitch Time Correction	0.000 seconds	0.001 seconds
	Roll Time Correction	0.000 seconds	0.001 seconds
	Yaw Time Correction	0.000 seconds	0.001 seconds
	Heave Time Correction	0.000 seconds	0.001 seconds

C. Data Acquisition and Processing

C.1 Bathymetry

C.1.1 Multibeam Echosounder

Data Acquisition Methods and Procedures

Multibeam data from the EM 2040C on S3007 were monitored in real-time with the acquisition software, SIS (Seafloor Information System). Data were displayed using 2-D and 3-D data display windows in the real-time screen display. Mainscheme data were acquired using either planned lines, or an adaptive line steering approach, whereby the coxswain viewed a real-time coverage map in Hysweep and accordingly adjusted line steering to ensure coverage requirments were being met.

Data Processing Methods and Procedures

Following acquisition, multibeam sonar data were processed either using CARIS HIPS and SIPS manually or by using the automated Pydro Explorer application, Charlene, to perform the same steps. The standard data processing steps are as follows:

1. Convert raw Kongsberg (.all) data to the HDCS data format

2. Load delayed heave

3. Load SBET and RMS corrections

4. Computer Georeference Bathymetry

a) Load and apply sound velocity corrections

b) Compute GPS tide to transform data from the ellipsoid to the tidal datum using a VDatum separation model

c) Merge data to apply position attitude, and dynamic draft correctors to bathymetry and compute the corrected depth of each sounding

d) Compute Total Propagated Uncertainty (TPU)

5. Create CUBE grid(s)

6. Data quality control and analysis

C.1.2 Single Beam Echosounder

Single beam echosounder bathymetry was not acquired.

C.1.3 Phase Measuring Bathymetric Sonar

Phase measuring bathymetric sonar bathymetry was not acquired.

C.1.4 Gridding and Surface Generation

C.1.4.1 Surface Generation Overview

Surfaces are generated in CARIS using the CUBE gridding method. Resolution is determined by the Project Instructions and the HSSD.

C.1.4.2 Depth Derivation

Depths are derived by using models provided to the field unit, usually in the form of a VDatum separation model. Flier Finder within QC Tools is used to help identify fliers to help clean the data.

C.1.4.3 Surface Computation Algorithm

Gridding parameters and surface computation algorithms used are consistent with HSSD specs and guidance from HSD.

C.2 Imagery

C.2.1 Multibeam Backscatter Data

Data Acquisition Methods and Procedures

Backscatter data is acquired concurrently with multibeam data.

Data Processing Methods and Procedures

When specified by project instructions, seafloor backscatter data will be evaluated for gaps using CARIS to process the data.

C.2.2 Side Scan Sonar

Data Acquisition Methods and Procedures

Side Scan data from the Edgetech 4125D on S3007 were monitored in real-time with the Discover software. Data were displayed on a window that showed the across-track profile in real-time. To acquire the most clear and highest resolution data, NRT-New London acquires in high frequency, unless conditions require otherwise. Gain is set by using the "normalize" function within discover, prior to logging a line. Bottom tracking is used to help in post processing.

Data Processing Methods and Procedures

Following acquisition, side scan sonar data were processed either using CARIS HIPS and SIPS manually or by using the automated Pydroexplorer's Charlene software. Both methods require converting Edgetech JSF data, and then computing SIPS Towfish Navigation.

Once processed two mosaics are created. Both mosaics show 100% survey coverage, however, they are offset by one line from each other so each line is only represented in a single mosaic. These two mosaics can then be compared against each other to find SSS holidays in a 200% SSS coverage requirement.

Contacts are identified within Side Scan Editor. Each line is digitized, and any features with shadows showing a height greater than 1 meter are designated as a contact. These contacts are then exported into a shapefile or S57, imported into Hypack, and used to acquire MBES data over each contact.

C.2.3 Phase Measuring Bathymetric Sonar

Phase measuring bathymetric sonar imagery was not acquired.

C.3 Horizontal and Vertical Control

C.3.1 Horizontal Control

C.3.1.1 GNSS Base Station Data

GNSS base station data was not acquired.

C.3.1.2 DGPS Data

DGPS data was not acquired.

C.3.2 Vertical Control

C.3.2.1 Water Level Data

Water level data was not acquired.

C.3.2.2 Optical Level Data

Optical level data was not acquired.

C.4 Vessel Positioning

Data Acquisition Methods and Procedures

Attitude and Heave data were measured with the sensors described in Section A.5, and applied in post-processing during SVP Correct and Merge in CARIS HIPS.

The POS MV on S3007 utilizes a heave filter integration method known as "TrueHeave" as described in Section 3.4.1.2 of the 2014 Field Procedures Manual. This filter almost completely eliminates the need for steadying up on lines before logging can begin.

TrueHeave data were logged throughout the day via the POS MV's ethernet logging feature. Each file has a unique identifier for the year, Julian day number, and the vessel number (ex. 2017_214_S3007.000). The multiple POS files that are created from logging in this way are each distinguished by the numbering found in the file type (e.g, 000, 001, 002, etc.). After regular CARIS data conversion, the TrueHeave file was separately loaded into HIPS, replacing the unfiltered heave values recorded in the raw data. TrueHeave is actually applied to the data, if the checkbox is marked, during the sound velocity correction process.

It is standard procedure to begin logging the POS MV Applanix .000 file at least 5 minutes before starting bathymetric data acquisition and letting it run for at least 5 minutes afterward. Although the filter that

produces the true heave values by looking at a long series of data to create a baseline needs only 3 minutes before and after the acquisition of bathymetric data, SBET processing which uses the same .000 file, requires logging for 5 minutes before and after bathymetric acquisition.

Timing and attitude biases were determined in accordance with Section 1.5 of the Field Procedures Manual, and are described in Section B of this report.

Regularly, the POS MV on S3007 is configured to receive correctors from the Wide Area Augmentation System (WAAS). WAAS corrects for GPS signal errors caused by ionospheric disturbances, timing and satellite orbit errors. However, when a Real Time Kinematic (RTK) network is available in the project area, it can be used to improve positioning and attitude data. S3007 is equipped with a Sierra Wireless cellular internet Wi-Fi modem that provides internet connectivity to laptop computers. Using BKG Ntrip Client software to perform Network Transport of RTCM data over IP (NTRIP), RTK corrections can be imported into the POS MV. During survey acquisition the BKG Ntrip Client window, which shows the status of the incoming data stream, was monitored to ensure continuous reception of RTK corrections. If the RTK signal was lost or if the connection was found to be intermittent, efforts would be made to reacquire the survey line when position accuracies had been regained or the POS MV would be switched back to receive real-time correctors via WAAS (which would then be improved during post-processing).

Data Processing Methods and Procedures

NRT-New London uses Post Processed Kinematic (PPK) methods for the horizontal positioning of bathymetric data.

A Smoothed Best Estimate of Trajectory (SBET) is created using Applanix's proprietary SmartBase application. This software application generates a Virtual Reference Station (VRS) from a network of established reference stations surrounding the project area, generally the Continually Operating Reference Station (CORS) network. For the SmartBase method, an optimal network consists of six to eight reference stations evenly distributed around the surveyed area and separated by 50 to 70 km. A minimum of four stations are required for Applanix SmartBase processing. The resulting SBET file consists of GPS position and attitude data corrected and integrated with inertial measurements and reference station correctors. Reference station data is downloaded with the POSPac MMS download tool and usually available within 24 hours. These SBET navigation and attitude files are applied to all lines in CARIS and supersede initial positioning and attitude data.

C.5 Sound Speed

C.5.1 Sound Speed Profiles

<u>Data Acquisition Methods and Procedures</u>

NRT-New London uses the SonTek CastAway CTD attached to a fishing pole to acquire sound speed data. Sound speed casts were taken at a minimum of every four hours. When taking a cast while the vessel is at rest, a starting GPS location is recorded by using the LCD interface on the CastAway. The CastAway CTD would then be lowered just beneath the water surface for approximately 10 seconds to allow the sensors to stabilize to the water conditions. After waiting for the sensors to stabilize, the CTD would be allowed to free fall through the water column. When the CTD reaches the bottom, or when the the fishing line is completely paid out, the CTD is retrieved to the surface at a rate of about 1 m/s. Once the CTD is at the surface, data collection is ceased and the ending GPS location is recorded.

SIS monitors changes in the surface sound speed vs. the value obtained with the last cast in real-time. The user is then warned for the need of a new cast by highlighting both the "SV Profile" and "SV Used numerical displays in yellow with a difference greater than 3 m/s and red for a difference greater than 5 m/s.

<u>Data Processing Methods and Procedures</u>

After the CastAway GPS location is recorded and the device is in range of the USB Bluetooth adapter inserted in the acquisition computer, the cast data is automatically downloaded into the CastAway CTD software. The data can then be exported to an ASCII format for import into Pydro's Sound Speed Manager application. Sound Speed Manager can then transmit this sound velocity data directly into SIS, and can convert the sound velocity files into a CARIS usable format.

All sound velocity profiles for CARIS are concatenated into a vessel-wide or sheet-wides files in order of ascending time/date. These concatenated file(s) are then applied to all HDCS data acquired with the option "Nearest in distance within time (4 Hours)" selected under the "Profile Selection Method".

C.5.2 Surface Sound Speed

<u>Data Acquisition Methods and Procedures</u>

The multibeam systems utilized aboard NRT-New London require a sound velocity probe to be interfaced with the sonar acquisition unit for use in projector steering computations. During all survey operations, surface sound velocity probes are on at all times. In the event of a velocity probe failure, survey operations immediately cease until the failure is corrected.

An AML Micro X provided surface sound speed data to the sonar processing unit for beam steering and beam forming. The surface sound speed measurement unit is mounted in a removable pole that can be inserted into a bracket mounted on the transom between the two motors. A bucket filled with surface water,

replenished frequently, is often used instead to mitigate issues when transiting at higher speeds. The unit is configured to output an AML datagram to SIS, which is installed on the acquisition computer.

<u>Data Processing Methods and Procedures</u>

Speed data is processed within Sound Speed Manager within Pydro Explorer.

C.6 Uncertainty

C.6.1 Total Propagated Uncertainty Computation Methods

There are two places in CARIS where the user directly defines uncertainty values for use in CARIS to calculate TPU values, in the HVF and the direct input of SV and tide values during the TPU computation.

TPU values for all motion, navigation position and timing values are taken directly from Appendix IV (Uncertainty values for use in CARIS with vessels equipped WITH an attitude sensor) of the FPM. All timing values were set to 0.001 seconds as outlined for setups with Ethernet connections and precise timing. All offset values were chosen to be 0.02 meters based on the accuracy provided by professional surveys.

All MRU alignment values are derived from the patch test. The gyro value is taken directly from the standard deviation of the yaw values. The pitch/roll value is combined as one in the HVF and is computed as the square root of pitch standard deviation squared plus roll standard deviation squared.

C.6.2 Uncertainty Components

C.6.2.1 A Priori Uncertainty

Vessel		NRT5_S3007_EM2040C
Motion Sensor	*Gyro*	0.02 degrees
	Heave	5.00% 0.05 meters
	Roll	0.02 degrees
	Pitch	0.02 degrees
Navigation Sensor		1.00 meters

C.6.2.2 Real-Time Uncertainty

Real-time uncertainty was not applied.

C.7 Shoreline and Feature Data

Data Acquisition Methods and Procedures

In preparation for shoreline verification, the Survey Manager copies the project wide composite source file (CSF) and crops it to include only items contained on their assigned sheet. This cropped file is then saved as a HOB file named HXXXXX_Final_Features_File.hob. It is to this final features HOB file that any edits are performed.

Shoreline verification is conducted during daylight periods near predicted MLLW tides of +0.5m or less. A line is run along the shore approximating the position of the Navigational Area Limit Line (NALL). Features are examined in accordance with the limited verification guidelines in the FPM. In the field, CARIS HIPS and SIPS is used to acquire DPs and/or modify S-57 attribution of existing features. The hydrographer both investigates assigned features and scans the area for new features to be addressed.

Data Processing Methods and Procedures

Features are generally documented and given S-57 attribution in real time. To increase efficiency during the limited shoreline window, the Survey Manager may forgo S-57 attribution with HIPS and SIPS while in the field and instead take thorough notes for later attribution.

C.8 Bottom Sample Data

Data Acquisition Methods and Procedures

Typically HSD Operations provides the field unit with a number of recommended bottom sample sites included as part of the shoreline project reference file (PRF). These proposed sample sites, which are encoded as S-57 SPRINGS, are examined and potentially culled based on the actual depths found during survey operations.

Samples are collected by launch using the bottom sampler described in section A.8 of this report. Once obtained, samples are analyzed for sediment type and classified with S57 attribution, with the most prevalent sediment type listed first. In the event that no sample is obtained after three attempts, the sample site's NATSUR is characterized as "unknown". Samples are then discarded after field analysis is complete.

Data Processing Methods and Procedures

Bottom samples are added to the Final Feature File with S57 attributions per the HSSD.

D. Data Quality Management

D.1 Bathymetric Data Integrity and Quality Management

D.1.1 Directed Editing

The CUBE surface child layers: uncertainty, standard deviation, and node standard deviation were primarily used to help focus directed editing to soundings that were negatively affecting the BASE surface.

Another method to check the quality of sounding data prior to submission is the Pydro QCTools "Flier Finder". This software scans the CUBE surface for potential anomalous grid data. Lowering the flier height value will increase the sensitivity of the flier finder, resulting in more nodes being flagged. Fliers are then exported as .000 S-57 files that can be imported into CARIS HIPS and SIPS to aid in further cleaning. If desired, the user can set a new tolerance ("Flier height") and rerun Flier finder.

D.1.2 Designated Sounding Selection

On occasion, the resolution of the CUBE surface may not be sufficient to capture the high point of a feature. In less than 20m of water, any feature where the most probable accurate sounding is shoaler than the CUBE surface by greater than one half the allowable error under IHO S-44 Order 1 is considered inadequately captured by the CUBE surface. In greater than 20m of water, this allowable error is expanded to the full Order 1 error allowance at that depth. Although missed shoal points may occur on irregular shoals or rock pinnacles, man-made features such as piles and wrecks are of particular concern. These features have very slender high points that extend far above the surrounding seafloor as well as the CUBE surface. To ensure that these features are properly represented, the shoalest point is flagged "designated" in CARIS.

During the "finalization" process, the CUBE surface is forced to honor all soundings which have been flagged "designated". In the case of a survey where the high points of many features (i.e. a boulder field) are not being captured by the CUBE surface, the hydrographer may decide to produce higher resolution CUBE surfaces to ensure that these features are being honored. Any such deviations from standard procedures will be noted in that survey's Descriptive Report.

D.1.3 Holiday Identification

Most holidays are identified and addressed while in the field. During data acquisition, the display of the real-time swath coverage is based upon the matrix file, a polygon with user defined geographic bounds and resolution set up prior to data collection. The resolution of the matrix is selected to match depth range of the

polygon currently being worked on. The launch coxswain uses this matrix display to adjust the line as it is driven so that the swath currently being collected overlaps the grid of previously collected data. In this way, insufficent overlap can be seen and adressed immediately.

The Pydro QCTools "Holiday Finder" is used to detect holidays in post-processing. This tool scans the grid, and any empty nodes surrounded by populated nodes are identified. The user can specify whether to search for holidays according to either Object Detecion or Full Coverage requirements. In the event of finding any holidays in post-processing, small polygons are made in HIPS to direct data acqusition to fill them in.

D.1.4 Uncertainty Assessment

NRT-New London's primary bathymetric data review and quality control tool is the CARIS CUBE surfaces. The CUBE algorithm generates a surface consisting of multiple hypotheses that represent the possible depths at any given position. The CUBE surface is a grid of estimation nodes where depth values are computed based on the horizontal and vertical uncertainty of each contributing sounding.

Any individual sounding's uncertainty, or Total Propagated Uncertainty (TPU), is derived from the assumed uncertainty in the echosounder measurement itself, as well as the contributing correctors from sound speed, water levels, position, and attitude. TPU values for tide and sound velocity must be entered for each vessel during TPU computation, unless using TCARI, where uncertainty is added directly to survey lines by Pydro.

NRT-New London is currently using the following uncertainty values:

• Tide measured uncertainty is set to a value of 0.0 with use of a VDatum separation model.

• Tide zoning uncertainty is unique to the separation model in use.

• Measured sound speed uncertainty was set to a recommended value of 2 m/s as recommended by the FPM when 1 cast is taken every 4 hours.

• Surface sound speed value is dependent on the manufacturer specifications of the unit utilized to measure surface SV values for refraction corrections to flat-faced transducers.The AML Oceanographic Micro•X probe with an SV•Xchange sensor has a published accuracy of 0.025 m/s, however, a value of 0.2 m/s is used for surface sound speed uncertainty.

All other error estimates are read from the Hydrographic Vessel File (HVF). The HVF contains all offsets and system biases for the survey vessel and its systems, as well as error estimates for latency, sensor offset measurements, attitude and navigation measurements, and draft measurements. In addition, the HVF specifies which type of sonar system the vessel is using.

In addition to the usual a priori estimates of uncertainty, some real-time and post-processed uncertainty sources were also incorporated into the depth estimates. Real-time uncertainties from the Kongsberg EM2040C were recorded and applied in post-processing. Applanix TrueHeave files are recorded, which include an estimate of the heave uncertainty, and are applied during post processing. When RTK corrections are in use, uncertainties associated with vessel roll, pitch, gyro and navigation are recorded in real-time in

the TrueHeave files. When RTK corrections were not available, these uncertainties associated are applied in CARIS HIPS and SIPS via an SBET and RMS files generated in POSPac.

D.1.5 Surface Difference Review

D.1.5.1 Crossline to Mainscheme

Cross-lines with a linear nautical total of at least 4% of mainscheme multibeam lines were run on each survey. Then a CUBE surface was created using strictly the main scheme lines, while a second surface was created using only the crosslines. These surfaces are input into the Compare Grids tool in Pydro, and statistics are derived from a difference surface. The statistics were then documented within the Descriptive Report.

D.1.5.2 Junctions

Junction overlap areas are acquired so to be at least approximately one bathymetric swath width at the nominal depth of the junction, as per the HSSD. Junction areas are then evaluated to ensure they have met this overlap requirement and also to inspect the relative agreement of depths, including using the Compare Grids tool in Pydro to generate statistics. When junctions share a common grid resolution, it is chosen to perform the junction analysis.

D.1.5.3 Platform to Platform

NRT-New London only has one platform, S3007.

D.2 Imagery data Integrity and Quality Management

Imagery data integrity and quality management were not conducted for this survey.

E. Approval Sheet

As Chief of Party, I acknowledge that all of the information contained in this report is complete and accurate to the best of my knowledge.

Please see the Descriptive Report for further information regarding survey completion.

Approver Name	Approver Title	Date	Signature
LTJG Nicholas Azzopardi	Team Lead	06/10/2021	

List of Appendices:

Mandatory Report	*File*
Vessel Wiring Diagram	2020 NRT5 Wiring Diagram.pdf
Sound Speed Sensor Calibration	AML Calibration May 2019.pdf Castaway_Calibration_2019.pdf
Vessel Offset	S3007 Vessel Offset Report.pdf
Position and Attitude Sensor Calibration	S3007 2020 POS MV Calibration.pdf
Echosounder Confidence Check	N/A
Echosounder Acceptance Trial Results	N/A