## U.S. Department of Commerce National Oceanic and Atmospheric Administration National Ocean Service

# **Data Acquisition & Processing Report**

Type of Survey:	Navigable Area
Project Number:	OPR-O375-RA-19, OPR-P136-RA-19, and OPR-T383-RA-19
Time Frame:	May - September 2019
	LOCALITY
State(s):	Alaska Hawaii
General Locality:	Lisianski Strait and Inlet, Alaska East Coast Kodiak, Alaska Hawaiian Islands and Vicinity, Hawaii
	2019
	CHIEF OF PARTY Benjamin K. Evans, CAPT/NOAA
	LIBRARY & ARCHIVES
Date:	

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# **Data Acquisition and Processing Report**

## NOAA Ship Rainier

Chief of Party: Benjamin K. Evans, CAPT/NOAA

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# A. System Equipment and Software

## **A.1 Survey Vessels**

## A.1.1 NOAA Ship Rainier (WTEF)

Vessel Name	NOAA Ship Rainier (WTEF)	
Hull Number	S221	
Description	Steel hydrographic ship	
	LOA	70.4 meters
Dimensions	Beam	12.8 meters
	Max Draft	4.7 meters
Most Recent Full	Date	2014-04-20
Static Survey	Performed By	The IMTEC Group, Ltd.
Most Recent Partial Static Survey	Date	2015-04-20
	Performed By	NOAA Ship Rainier personnel
Most Recent Partial Offset Verification	Date	2016-04-19
	Method	Verification measurements were conducted using steel tapes, steel rulers, laser range finders, carpenter levels, optical levels, plum-bobs, and carpenter squares.



Figure 1: NOAA Ship Rainier (S221) in Lisianski Inlet Alaska trailing a skiff

## A.1.2 RA2 (WZ2572)

Vessel Name	RA2 (WZ2572)	
Hull Number	2701	
Description	Aluminum hull North River Liberty jet-drive survey launch	
Dimensions	LOA	7.62 meters
	Beam	3.05 meters
	Max Draft	0.47 meters
Most Recent Full Static Survey	Date	2019-03-05
	Performed By	National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch



Figure 2: Rainier survey launch RA2 (2701)

# A.1.3 RA3 (WZ2573)

Vessel Name	RA3 (WZ2573)	
Hull Number	2803	
Description	Aluminum hull Jensen survey launch	
	LOA	8.8 meters
Dimensions	Beam	3.7 meters
	Max Draft	1.1 meters
Most Recent Full Static Survey	Date	2009-03-01
	Performed By	National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch
Most Recent Partial Static Survey	Date	2017-09-13
	Performed By	NOAA Ship Rainier and HSTB Field Support

	Date	2019-04-22
Most Recent Partial Offset Verification	Method	Spot check verification measurements were conducted using steel tapes, laser range finders, bubble levels, plum-bobs, and collapsible rulers. Measurements concentrated verification between the POS MV antennae and themselves in addition to adjacent launch benchmarks.



Figure 3: Rainier survey launch RA3 (2803) on blocks in preparation for her full static survey at Sand Point, Washington

## A.1.4 RA4 (WZ2574)

Vessel Name	RA4 (WZ2574)	RA4 (WZ2574)	
Hull Number	2801	2801	
Description	Aluminum hull Je	Aluminum hull Jensen survey launch	
Dimensions	LOA	8.8 meters	
	Beam	3.7 meters	
	Max Draft	1.1 meters	

Most Recent Full Static Survey	Date	2008-03-31
	Performed By	National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch
Most Recent Partial	Date	2017-09-12
Static Survey	Performed By	NOAA Ship Rainier and HSTB Field Support
	Date	2019-04-22
Most Recent Partial Offset Verification	Method	Spot check verification measurements were conducted using steel tapes, laser range finders, bubble levels, plum-bobs, and collapsible rulers. Measurements concentrated verification between the POS MV antennae and themselves in addition to adjacent launch benchmarks.



Figure 4: Rainier survey launch RA4 (2801) under way in Lisiansky Inlet, Alaska

## A.1.5 RA5 (WZ2575)

Vessel Name	RA5 (WZ2575)	
Hull Number	2802	
Description	Aluminum hull Jensen survey launch	
	LOA	8.8 meters
Dimensions	Beam	3.7 meters
	Max Draft	1.1 meters
Most Posset Eull	Date	2008-03-31
Most Recent Full Static Survey	Performed By	National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch
Most Recent Partial	Date	2017-09-12
Static Survey	Performed By	NOAA Ship Rainier and HSTB Field Support
Most Recent Partial Offset Verification	Date	2019-04-22
	Method	Spot check verification measurements were conducted using steel tapes, laser range finders, bubble levels, plum-bobs, and collapsible rulers. Measurements concentrated verification between the POS MV antennae and themselves in addition to adjacent launch benchmarks.



Figure 5: Rainier survey launch RA5 (2802) underway in Pearl Harbor, Hawaii

## A.1.6 RA6 (WZ2576)

Vessel Name	RA6 (WZ2576)					
Hull Number	2804	304				
Description	Aluminum hull Jenser	Aluminum hull Jensen survey launch				
	LOA	8.8 meters				
Dimensions	Beam	3.7 meters				
	Max Draft	1.1 meters				
Most Recent Full	Date	2009-03-01				
Static Survey	Performed By	National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch				
Most Recent Partial	Date	2017-09-13				
Static Survey	Performed By	NOAA Ship Rainier and HSTB Field Support				

	Date	2019-04-22
Most Recent Partial Offset Verification	Method	Spot check verification measurements were conducted using steel tapes, laser range finders, bubble levels, plum-bobs, and collapsible rulers. Measurements concentrated verification between the POS MV antennae and themselves in addition to adjacent launch benchmarks.



Figure 6: Rainier survey launch RA6 (2804) moored at MOC-PI

## A.1.7 RA8

Vessel Name	RA8				
Hull Number	1905				
Description	Aluminum hull Se	lluminum hull SeaArk survey skiff			
	LOA	5.7 meters			
Dimensions	Beam	2.8 meters			
	Max Draft	0.35 meters			

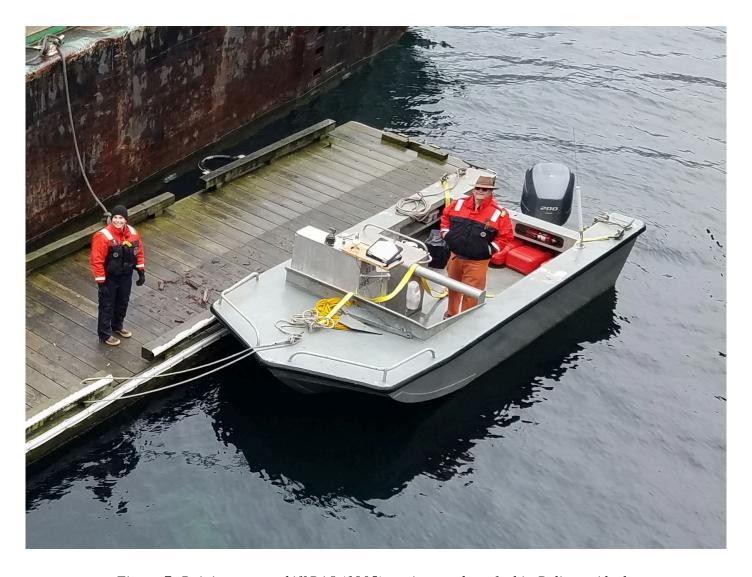


Figure 7: Rainier survey skiff RA8 (1905) getting ready to fuel in Pelican, Alaska

## **A.2** Echo Sounding Equipment

### A.2.1 Multibeam Echosounders

### **A.2.1.1 Kongsberg EM710**

S221 (Rainier) is equipped with a hull-mounted Kongsberg EM710, which operates at sonar frequencies in the 70 to 100 kHz range. The across-track swath width is up to 5.5 times water depth with a published maximum depth of more than 2000 meters. The along-track beamwidth of Rainier's configuration is ½° with a receive beam width of 1°. The maximum number of beams is 400, with dynamic focusing employed in the near field. A high density beam processing mode provides up to 400 or 200 soundings per swath by using a limited range window for the detections. The beamspacing may be set to be either equiangular or equidistant. Rainier typically collects 400 beams per ping in equidistant mode.

The transmit fan is divided into three sectors to maximize range capability but also to suppress interference from multiples of strong bottom echoes. The sectors are transmitted sequentially within each ping, and use distinct frequencies or waveforms. By default, the transmit fan is electronically stabilized for roll, pitch and yaw but Rainier experience has shown that yaw stabilization often caused a noticeable "step" between the three sectors of the transmit fan. Due to this problem, Rainier typically disables yaw stabilization.

Running the Built-in-Self-Test (BIST) on Rainier's Kongsberg EM710 has resulted in an intermittent fail on BIST 6, for phase limits on the receive channel. Historic logs of the EM710 BIST tests show an increase in the number of points failing over time; however, following the most recent manual cleaning of the sonar transducer by divers, BIST 6 passed with only 3 out of 128 points failing. No data degradation has been observed to date.

Manufacturer	Kongsberg								
Model	EM710								
		Component	Processor	Receiver	Transducer				
	S221	Model Number	EM710	EM710	EM710				
Lan aret orm.		Serial Number	0356	218	unknown				
Inventory		Frequency	N/A	N/A	70-100 kHz				
		Calibration	N/A	2019-04-10	2019-04-10				
		Accuracy Check	N/A	2019-04-11	2019-04-11				



Figure 8: Kongsberg EM710 sonar transducer housing on Rainier (S221).

## A.2.1.2 Kongsberg EM2040 (07 version)

The Kongsberg EM2040-07 consists of four units, a transmit transducer, a receive transducer, a processing unit, and a workstation. The EM2040 system includes a 0.7 degree receiver and an option of two different transmitters: 0.4 and 0.7 degrees are available. The "07" version of the system in use on all Rainier launches features the transmitter of 0.7 degrees. With roll, pitch and yaw stabilization, the transmit fan is divided into three sectors pinging simultaneously at separate frequencies. The system utilizes nearfield focusing on both transmit and receive. Water column logging is also supported.

The EM2040 has a frequency range of 200-400 kHz. The single transmitter configuration has three standard modes. The 300 kHz mode (max depth 465m, max coverage 640m) is used for normal operation, giving an optimum balance between high resolution, depth capability and tolerance of detrimental factors such as water column sediments. The 200 kHz mode (max depth 600m, max coverage 880m) has the best maximum depth capability. The 400 kHz mode (max depth 300m, max coverage 385m) provides the finest resolution in shallower depths for detailed inspection of features such as wrecks.

The EM2040 systems in use by RAINIER during the 2019 field season experienced a number of failures. A timeline of the major issues is found below:

3/14/2019 When testing launch 2803 for HSRR, an error occurred starting SIS. Windows showed valid communication with the TX transducer but no communication with the RX. Additionally, the PU backplane port for the RX data cable input showed no heartbeat light flashing indicating no communication with the transducer. The problem was eventually traced to a faulty RX transducer.

4/4/2019 Depart Newport for Seattle HSRR, field season begins.

4/22/2019 Received and installed the RX transducer (Kongsberg S/N 392) from Thomas Jefferson launch 2903 onto launch 2803 and performed satisfactory BIST.

4/29/2019 Patch test launch 2803 (bad data from patch lines but reference surface and backscatter OK).

4/30/2019 Depart Seattle for Alaska working grounds.

5/4/2019 Re-patch test launch 2803 patch (again).

6/12/2019 During routine MB operations, SIS crashed on launch 2802 and could not be restarted. An examination of the BIST had the error that the full or maximum voltage is out of range. The problem was eventually traced to a faulty TX transducer.

6/13/2019 Got word from Kongsberg to upgrade TX and CBMF software/firmware. This firmware update is supposed to prevent TX HV failures. Firmware was installed over the weekend during a Kodiak inport.

6/17/2019 Received and installed replacement TX transducer (Kongsberg S/N 140) on loan from Kongsberg.

6/18/2019 Re-patch test launch 2802.

7/31/2019 While working remotely from the ship, the HV supply in the EM2040 TX transducer installed on launch 2804 failed. The failed BIST 4 "TX Status" test indicates the TX transducer "High Voltage Power (Full)" reading is severely out of parameter at around 0.79-0.89 VDC (normal reading have been 352.3 VDC). The HV supply in the TX array had failed.

8/19/2019 The working EM2040 TX transducers from launch 2802 (inoperable due to mechanical issues) were pulled and left to replace the 2804 TX transducer once the field party was back in Honolulu.

9/2/2019 Re-patch launch 2804.

Manufacturer	Kongsberg									
Model	EM2040 (07 v	version)								
		Component	Processor TX		TX transdu	TX transducer		ransducer		
		Model Number	EM2040-07		EM2040-0	7	EM2	040-07		
	2801	Serial Number	40130		257		367			
	2001	Frequency	N/A		200-400 kF	łz	200-	400 kHz		
		Calibration	N/A		2019-04-08	3	2019	-04-08		
		Accuracy Check	N/A		2019-04-08	3	2019	-04-08		
		Component	Processor	TX tı	ransducer	TX transdu	icer	RX transducer		
		Model Number	EM2040-07	EM2	040-07	EM2040-0	7	EM2040-07		
	2802	Serial Number	40129	256		140		373		
	2802	Frequency	N/A	200-400 kHz		200-400 kHz		200-400 kHz		
		Calibration	N/A 2019-0		-04-08	04-08 2019-06-18		2019-06-18		
Inventory		Accuracy Check	N/A 2019-		-04-08 2019-06-18		3	2019-04-08		
Inveniory		Component	Processor		TX transdu	cer	RX t	ransducer		
		Model Number	EM2040-07		EM2040-07		EM2	EM2040-07		
	2803	Serial Number	40125		262		392			
	2803	Frequency	N/A		200-400 kHz		200-400 kHz			
		Calibration	N/A		2019-05-04		2019-05-04			
		Accuracy Check	N/A		2019-04-29		2019-04-29			
		Component	Processor	TX tı	ransducer TX transdu		icer	RX transducer		
		Model Number	EM2040-07	EM2	040-07	EM2040-0	7	EM2040-07		
	2804	Serial Number	40126	244		140		366		
	2004	Frequency	N/A	200-4	400 kHz	200-400 kF	Iz	200-400 kHz		
		Calibration	N/A	2019	-04-09	2019-09-02	2	2019-04-09		
		Accuracy Check	N/A	2019	-04-09	2019-09-02	2	2019-04-09		



Figure 9: The Kongsberg EM2040-07 mounted on survey launch 2803.

### A.2.2 Single Beam Echosounders

### A.2.2.1 Teledyne Odom Hydrographic Echotrac CV200

The Teledyne Odom Hydrographic Echotrac CV200 hydrographic echo sounder is a rack mountable, dual frequency, single beam echo sounder. The frequency of the high band ranges from 100kHz to 1 MHz while the low band ranges between 3.5kHz and 50kHz. The CV200 has a reported accuracy of 0.01m +/- 0.1% of depth @ 200kHz. The unit is controlled through Teledyne Odom's Windows based software including eChart Display, Control & Logging Software.

The Echotrac CV200 is paired with the Simrad 50/200 Combi D transducer. The Simrad transducer combines two transducers (50 kHz and 200 kHz) and one temperature sensor in a single housing. It is designed with a streamlined shape for hull mounting on small vessels. The 50 kHz transducer has a longitudinal beam width of 10° and a transverse beam width of 16°. The 200 kHz transducer has a longitudinal and transverse beam width of 7°.

Manufacturer	Teledyne Odom Hydrographic							
Model	Echotrac CV2	Echotrac CV200						
		Component	Topside	Transducer				
	2701	Model Number	CV200	Simrad 50/200 Combi D				
In an tom.		Serial Number	004152	unknown				
Inventory		Frequency	10kHz - 1MHz	50/200 kHz				
		Calibration	N/A	N/A				
		Accuracy Check	N/A	N/A				

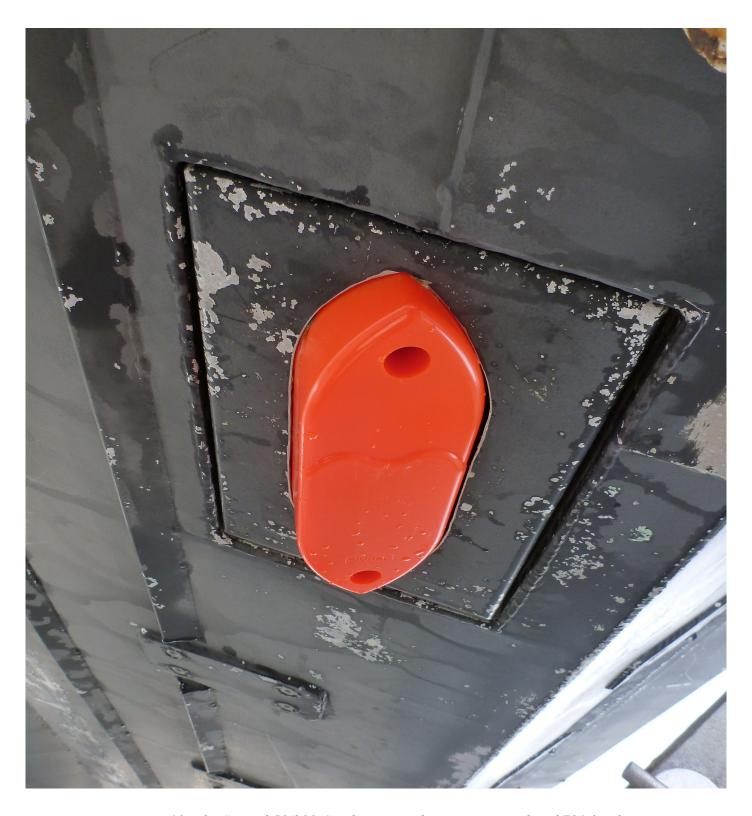


Figure 10: The Simrad 50/200 Combi D transducer as mounted on 2701 for the Teledyne Odom Hydrographic Echotrac CV200 hydrographic echo sounder.

NOAA Ship Rainier

#### A.2.3 Side Scan Sonars

No side scan sonars were utilized for data acquisition.

## A.2.4 Phase Measuring Bathymetric Sonars

No phase measuring bathymetric sonars were utilized for data acquisition.

#### A.2.5 Other Echosounders

No additional echosounders were utilized for data acquisition.

## A.3 Manual Sounding Equipment

### **A.3.1 Diver Depth Gauges**

No diver depth gauges were utilized for data acquisition.

#### A.3.2 Lead Lines

No lead lines were utilized for data acquisition.

## **A.3.3 Sounding Poles**

No sounding poles were utilized for data acquisition.

## **A.3.4 Other Manual Sounding Equipment**

No additional manual sounding equipment was utilized for data acquisition.

## A.4 Horizontal and Vertical Control Equipment

## **A.4.1 Base Station Equipment**

No base station equipment was utilized for data acquisition.

#### A.4.2 Rover Equipment

No rover equipment was utilized for data acquisition.

### A.4.3 Water Level Gauges

No water level gauges were utilized for data acquisition.

#### A.4.4 Levels

No levels were utilized for data acquisition.

## A.4.5 Other Horizontal and Vertical Control Equipment

No other equipment were utilized for data acquisition.

## A.5 Positioning and Attitude Equipment

## A.5.1 Positioning and Attitude Systems

### A.5.1.1 Applanix POS MV V5

Rainier and all of her launches are outfitted with the Applanix POS MV 320 version 5. The POS MV version 5 offers a number of key new features including:

- Full GNSS support, by using all available GPS and GLONASS satellites.
- Improved Real Time Kinematic (RTK) performance over long baselines using the most advanced Trimble algorithms.
- Removable USB media slot, providing convenient, portable and robust logging of GNSS and inertial observables for processing in POSPac MMS.

The POS MV is a GNSS-aided inertial navigation system, which provides a blended position solution derived from both an Inertial Motion Unit (IMU) and an integrated GNSS receiver. The IMU and GPS receiver are complementary sensors, and data from one are used to filter and constrain errors from the other. This inter-dependence results in higher position accuracy and fewer errors.

Position accuracy is displayed in real time by the POS MV software and is monitored to ensure that positioning accuracy requirements as outlined in the NOS Hydrographic Surveys Specifications and Deliverables (HSSD) were not exceeded. In addition, the POS MV software displays HDOP and the number of satellites used in position computation. Data acquisition is generally halted when an HDOP of 2.5 is exceeded or the number of satellites available drop below four. However, because positional accuracy can be maintained by the POS MV through short GPS outages with the help of the IMU, data acquisition

is not halted during short periods of time when the HDOP and number of satellites used exceeded stated parameters. When using differential correctors, the POS MV generates positional data to an accuracy of 0.5-2 meters.

In addition to position, the Applanix POS MV also provides accurate navigation and attitude data to correct for the effects of heave, pitch, roll and heading. When using differential correctors, the POS MV generates attitude data in three axes (roll, pitch and heading) to an accuracy of  $0.02^{\circ}$  or better. Heave measurements supplied by the POS MV maintain an accuracy of 5 cm or 5% of the measured vertical displacement (whichever is greater) for movements that have a period of up to 20 seconds. The Heave Bandwidth filter was configured with a damping coefficient of 0.707. The cutoff period of the high pass filter was determined by estimating the swell period encountered on the survey grounds. These values ranged from 8 seconds (flat water) to 20 seconds (long period ocean swell), with values of 8 or 12 seconds typically. Currently the ship system is set to 20 seconds and the launches are set to 8 seconds.

Intermittent problems with the heading accuracy climbing above the ideal cutoff of  $0.05^{\circ}$  are observed. Heading accuracy is monitored by the hydrographer in real time, and survey operations are temporarily suspended in the event that the error exceeds  $0.08^{\circ}$ .

Applanix "TrueHeave" values are also recorded. The TrueHeave algorithm uses a delayed filtering technique to eliminate many of the artifacts present in real time heave data. When using differential correctors, the POS MV generates heave measurements with an accuracy of 2 cm or 2% of the measured vertical displacement (whichever is greater) for movements that have a period of up to 35 seconds.

Full POSPac data are also recorded on Rainier and all of her survey launches. These data are used to post process POS MV data to produce superior position and attitude data and can be used to produce a Post-Processed Kinematic (PPK) GPS solution. When using PPK methods, the POS MV generates roll and pitch data with an accuracy of  $0.008^{\circ}$  and heading data with an accuracy of  $0.02^{\circ}$ . Horizontal position is accurate to +/- 8 mm + 1 ppm x baseline length while vertical position is accurate to +/- 15 mm + 1 ppm x baseline length.

Manufacturer	Applanix							
Model	POS MV V5							
		Component PCS		IMU				
	G22.1	Model Number	POS MV 320 V5	LN200				
	S221	Serial Number	7273	535				
		Calibration	2019-04-05	2019-04-05				
		Component	PCS	IMU				
	2701 (DA2)	Model Number	POS MV 320 V5	LN200				
	2701 (RA2)	Serial Number	8957	343				
		Calibration	N/A	N/A				
		Component	PCS	IMU				
	2801 (RA4)	Model Number	POS MV 320 V5	LN200				
		Serial Number	7264	693				
In a contain		Calibration	2019-03-21	2019-03-21				
Inventory		Component	PCS	IMU				
	2802 (BA5)	Model Number	POS MV 320 V5	LN200				
	2802 (RA5)	Serial Number	7162	694				
		Calibration	2019-03-21	2019-03-21				
		Component	PCS	IMU				
	2902 (DA2)	Model Number	POS MV 320 V5	LN200				
	2803 (RA3)	Serial Number	7272	334				
		Calibration	2019-03-22	2019-03-22				
		Component	PCS	IMU				
	2904 (PA6)	Model Number	POS MV 320 V5	LN200				
	2804 (RA6)	Serial Number	7274	355				
		Calibration	2019-03-19	2019-03-19				

#### **A.5.2 DGPS**

### A.5.2.1 Trimble Pathfinder Pro XRS

Rainier personnel use the Trimble "backpack" GPS system to obtain positions of selected shoreline features. They are also useful in positioning linear features on the shore such as finger piers or roads where the user can simply go ashore and walk the boundary of the object in question while wearing the backpack. The system consists of a Pathfinder Pro XRS, a 12-channel GPS receiver that provides real-time 1-2 meter accuracy with built-in Coast Guard differential beacon reception capability.

The Pathfinder Pro XRS receiver is connected to a Toughbook all-weather laptop computer running CARIS Notebook. Due to both the portable and weather resistant attributes of this setup, it can be used in an open skiff to augment traditional shoreline verification in a survey launch.

Manufacturer	Trimble							
Model	Pathfinder Pro	Pathfinder Pro XRS						
		Component	GPS receiver	GPS receiver				
Instanton		Model Number	Pathfinder Pro XRS	Pathfinder Pro XRS				
Inventory		Serial Number	0224070094	0224070154				
		Calibration	2019-02-26	2019-02-26				

#### **A.5.3 GPS**

GPS equipment was not utilized for data acquisition.

## A.5.4 Laser Rangefinders

### A.5.4.1 Laser Technology Inc. Impulse 200 LR

The Impulse 200 LR (long range) is a hand-held, light weight laser ranging instrument which includes onboard calculation ability for height, horizontal, and vertical distance. The typical max range to a non-reflective target is 500m (1,640ft) with range accuracy of 3-5 centimeters. Two AA batteries supply up to 20 hours of use. Aiming is simplified with a 1X red-dot scope. In addition to measuring the distance to shoreline features, this instrument is also used to measure the waterline of Rainier.

Manufacturer	Laser Technolo	Laser Technology Inc.				
Model	Impulse 200 Ll	mpulse 200 LR				
		Component	Hand-held laser			
Invantory		Model Number	200LR			
Inventory		Serial Number	108786			
		Calibration	N/A			

#### A.5.4.2 Leica DISTO lite5

The Leica DISTO lite5 is a splash and dust proof handheld laser range finder that emits a Class II 0.95mW laser on a wavelength of 620-690nm. Ranges measurable vary from 0.2m up to 200m with the smallest unit displayed 1mm. Measuring accuracy (at 2x standard deviation) is typically  $\pm$  3mm,  $\pm$  5mm at the instrument's extreme range.

Manufacturer	Leica		
Model	DISTO lite5		
		Component	Hand-held laser
In an tom.		Model Number	DISTO lite5
Inventory		Serial Number	40300556
		Calibration	N/A

### A.5.4.3 Velodyne VLP-16

The VLP-16 is a real-time 3D LiDAR (Light Detection And Ranging) sensor that provides high definition 3-dimensional information about the surrounding environment. The laser type used is a class 1 eye safe laser operating at a 903 nm wavelength. The VLP-16 creates 360° 3D images by using 16 laser/detector pairs mounted in a compact housing. The housing rapidly spins to scan the surrounding environment. The lasers fire thousands of times per second, providing a rich, 3D point cloud in real time.

Advanced digital signal processing and waveform analysis provide high accuracy, extended distance sensing, and calibrated reflectivity data. Unique features include: a horizontal field of view of 360°, rotational speed of 5-20 rotations per second (adjustable), vertical field of view of 30°, and returns of up to 100 meters. The sensor offers an angular resolution of 2° (vertical) and 0.1° - 0.4° (horizontal/azimuth) in addition to a typical range accuracy of ~3cm.

VLP-16 units are integrated with the launch HYPACK acquisition systems and are used for determination of the height and position of exposed shoreline features.

Manufacturer	Velodyne		
Model	VLP-16		
		Component	LiDAR Puck
Instantant	2701	Model Number	VLP-16
Inventory		Serial Number	29415368
		Calibration	N/A

## A.5.5 Other Positioning and Attitude Equipment

No additional positioning and attitude equipment was utilized for data acquisition.

## A.6 Sound Speed Equipment

## **A.6.1 Moving Vessel Profilers**

### A.6.1.1 AML Oceanographic MVP200 Moving Vessel Profiler (MVP)

Rainier is equipped with an AML Oceanographic MVP200 Moving Vessel Profiler (MVP). This system consists of a sensor fish, a conductor cable, a computer controlled high speed hydraulic winch, and a cable metering system. In the underway mode, the sensor fish is towed behind the ship and periodically is allowed to free-fall near vertical through the water column recording sound velocity profiles. This enables Rainier to take sound speed casts without stopping the ship. To take deeper SV casts and take full advantage of all the cable on the drum, the ship must come to a stop. While stationary, 600 meter deep sound speed casts may be collected as opposed to a maximum of 235 meters deep when the ship is in typical survey mode and underway at 10 knots.

The actual sensor package contained within the towfish is an Applied Microsystems Micro CTD. The unit consists of a 4-electrode conductivity sensor accurate to +/-0.01 mS/cm with a resolution of 0.001 mS/cm, a temperature (precision aged thermistor) sensor accurate to +/-0.005° C with a resolution of 0.001° C, and a pressure (temperature compensated strain gauge) sensor accurate to +/-0.05% FS (full scale) with a resolution of 0.005% FS. The Micro CTD supplied with the MVP200 is rated at 1000-dBar.

In the past, the MVP200 experienced several failures of the Micro CTD caused by the unprotected conductivity sensor unit protruding from the side of the towfish being sheared off. The likely cause was determined to be loose floating kelp snagging on the delicate conductivity sensor and causing it to break off. In an effort to mitigate this issue, the manufacture was contacted and provided Rainier with stainless steel sensor guards similar to those found on the MVP30.

Manufacturer	AML Oceanographic								
Model	MVP200 Moving Vessel Profiler (MVP)								
	S221 Rainier	Component	CTD	CTD	CTD	CTD	CTD		
Invantory		Model Number	Micro CTD	Micro CTD	Micro CTD	Micro CTD	Micro CTD		
Inventory		Serial Number	7510 (spare)	8614 (spare)	7761 (spare)	7511 (spare)	8565		
		Calibration	2016-04-11	2018-12-13	2016-04-11	2016-04-15	2018-01-24		

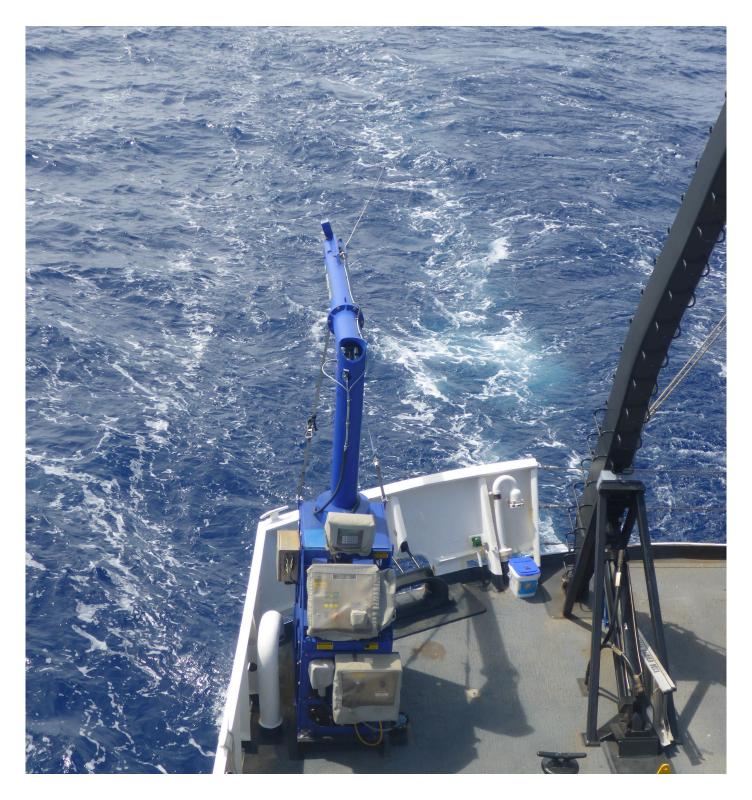


Figure 11: The MVP200 deployed during survey operations off O'ahu, Hawaii

#### A.6.2 CTD Profilers

#### A.6.2.1 SEA-BIRD Electronics, INC SBE 19 SEACAT

The SEACAT SBE 19 profiler measures the electrical conductivity and temperature of seawater versus pressure. The aluminum housing allows for use in depths up to 3400 meters (11,150 feet). The sampling rate is set by command to the instrument with a maximum rate of 2 scans per second. Data are temporarily saved on an internal 64 Kbytes of solid-state memory which allows 1.5 hours of recording while sampling at two scans per second. The profiler is self-powered with 6 alkaline batteries which provide up to 48 hours of continuous operation.

The SEACAT embodies sensor elements (Pyrex cell and pressure-protected thermistor) and a Wein-bridge oscillator interface technique using multiplexing. This technique allows a single oscillator to service both temperature and conductivity measurements. The pressure sensor is a Senso-Metrics Series SP-91 straingauge sensor. Set-up, check-out, and data extraction are performed without opening the housing via an external computer connected to a bulkhead connector at the base of the profiler with a serial cable.

To ease quick identification of individual SEACAT profilers, Rainier affixed a uniquely colored band of electrical tape around the housing at the top of each profiler. When assigned to a field unit in the plan of the day, the SEACAT profiler is simply referred to by color such as "green" or "black". All Rainier launches (2801, 2802, 2803, and 2804) are equipped with 24-volt electric winches attached to small swing-arm davits to deploy and recover CTD profilers while the vessel is at rest.

Manufacturer	SEA-BIRD Electronics, INC		
Model	SBE 19 SEACAT		
Inventory	Component	CTD	
	Model Number	SBE 19	
	Serial Number	192472 -0281	
	Calibration	2019-02-23	



Figure 12: The SEACAT SBE 19 profiler. Note the band of electrical tape around the housing at the top of profiler marking this as the "green" CTD.

### A.6.2.2 SEA-BIRD Electronics, INC SBE 19plus SEACAT

The SBE 19plus SEACAT profiler is designed to measure conductivity, temperature, and pressure in marine or fresh-water environments. The plastic housing of the profiler is rated for depths up to 600 meters (1950 feet). The 19plus runs continuously, sampling at four scans per second (4 Hz). Nine D-size alkaline batteries provide 60 hours operation in profiling mode. Eight Mbytes of FLASH RAM records 50 hours of conductivity, temperature, and pressure data while sampling at four scans per second.

To ease quick identification of individual SEACAT profilers, Rainier affixed a uniquely colored band of electrical tape around the housing at the top of each profiler. When assigned to a field unit in the plan of the day, the SEACAT profiler is simply referred to by color such as "green" or "black".

All Rainier launches (2801, 2802, 2803, and 2804) are equipped with 24-volt electric winches attached to small swing-arm davits to deploy and recover CTD profilers while the vessel is at rest.

Manufacturer	SEA-BIRD Electronics, INC							
Model	SBE 19plus SEACAT							
Inventory	Component	CTD	CTD	CTD	CTD	CTD	CTD	CTD
	Model Number	SBE 19plus	SBE 19plus	SBE 19plus	SBE 19plus	SBE 19plus	SBE 19plus	SBE 19plus
	Serial Number	26069-4039 (black)	27151-4114 (yellow)	30319-4306 (blue)	31464-4343 (purple)	19P-7530 (red)	4676 (spare)	4778 (spare)
	Calibration	2018-12-13	2018-12-29	2018-12-29	2018-12-02	2019-02-18	2018-01-31	2018-02-10



Figure 13: The SBE 19plus SEACAT profiler. Note the band of electrical tape around the housing at the top of profiler marking this as the "purple" CTD.

#### A.6.3 Sound Speed Sensors

#### **A.6.3.1 Reson Inc. SVP 70**

The SVP 70 is a direct reading sound velocity probe with a sound transmission path of 125mm. The unit's housing is constructed of robust titanium that eases cleaning in environments with high levels of marine growth and is recommended for permanent installations. The SVP 70 is used on all MBES launches (2801, 2802, 2803 & 2804) in addition to Rainier. Since Rainier can only service the SVP 70 during a dry dock, two of these sensors are mounted simultaneously in the event that one fails.

Aboard Rainier, these two sensors are mounted in close proximity to the ship's multibeam transducers and provide real time surface sound speed values for refraction corrections. Yearly calibrations of these SVP 70s are not performed since the instrument can only be removed from the ship during a dry dock. The relative health of these sound speed sensors are monitored whenever the ship is collecting MBES data by comparing live sound speed values to MVP, CTD and/or XBT casts whenever they occur to guarantee correct operation.

Aboard MBES launches, the SVP 70 sensor is mounted in close proximity to each launches' multibeam transducers and provides real time surface sound speed values for refraction corrections.

Manufacturer	Reson Inc.					
Model	SVP 70					
	S221 Rainier	Component	Surface sound speed sensor	Surface sound speed sensor		
		Model Number	SVP 70	SVP 70		
		Serial Number	301302	4408373		
		Calibration	N/A	N/A		
	2801	Component	Surface sound speed sensor			
		Model Number	SVP 70			
		Serial Number	4517079			
Inventory		Calibration	N/A			
	2802	Component	Surface sound speed sensor			
		Model Number	SVP 70			
		Serial Number	4517077			
		Calibration	N/A			
	2803	Component	Surface sound speed sensor			
		Model Number	SVP 70			
		Serial Number	3417109			
		Calibration	N/A			
	2804	Component	Surface sound speed sensor			
		Model Number	SVP 70			
		Serial Number	2817018			
		Calibration	N/A			



Figure 14: Dual SVP 70s mounted in Rainier's multibeam sonar transducer gondola.

## A.6.4 TSG Sensors

No thermosalinograph was utilized for data acquisition.

## **A.6.5 Other Sound Speed Equipment**

No other sound speed sensors were utilized for data acquisition.

#### A.7 Computer Software

Manufacturer	Software Name	Version	Use
CARIS	CARIS HIPS and SIPS (x64)		Processing
CARIS	HIPS and SIPS (x64)	11.1.3	Processing
CARIS	BASE Editor (x64)	5.3.0	Processing
CARIS	Notebook	3.1.1	Processing
Applanix	POSPac MMS	8.3 Service Pack 3	Processing
Fledermaus	FM Geocoder Toolbox (FMGT)	7.8.1	Processing
NOAA (HSTP)	PydroXL_19	19.4	Processing
HYPACK, Inc.	Hypack 2018	18.0.14.0	Acquisition
Kongsberg Maritime AS	SIS	4.3.2 build 31	Acquisition
Applanix Corporation	MV-POSView	9.12	Acquisition
ODIM MVP Controller		2.430	Acquisition

## **A.8 Bottom Sampling Equipment**

## **A.8.1 Bottom Samplers**

### A.8.1.1 AMS, Inc. 15 lb SST Dredge #445.10

The AMS 15 lb SST Dredge is a Ponar type grab sampler, a commonly used sampler that is very versatile for all types of bottom sediments such as sand, gravel and clay. This modified Van Veen type self-tripping sampler features center hinged jaws and a spring loaded trigger pin that releases when the sampler makes impact with the bottom. The sampler's jaws are closed by the scissor action of the lever arms when the sampler is retrieved. The sampling area is 6" x 6".

The sampler is constructed with stainless steel jaws and powder-coated carbon steel lever arms for corrosion resistance. It also includes an underlip attachment that cleans gravel from the jaws that would normally allow lateral loss of sample during retrieval. The top of the stainless steel sampling chamber has been cut with slits and covered with neoprene rubber flaps which allow water to flow through for a controlled descent and to reduce the frontal shock wave that may displace sediment as the dredge contacts the sample surface. This relatively lightweight model (1/8" stainless plate) is easily used from a small boat with nylon cable.



Figure 15: The AMS 15 lb SST Dredge #445.10 Ponar type grab sampler.

# A.8.1.2 Unknown Van Veen style grab sampler

This Van Veen Grab Sampler is a hinged clamshell bucket instrument made out of galvanized steel. This sampler is designed to collect unconsolidated sediments up to the size of small pebbles.

While letting the instrument down into the water, the two levers with buckets at their ends are spread like an open scissor. The levers are locked in this position by a hooked metal latch that is designed to drop down and unlock when hitting the seafloor. When the rope is pulled upward again, the two buckets close and grab a sample from the sea floor.



Figure 16: The Van Veen grab sampler configured ready to deploy.

#### A.8.1.3 Unknown Referred to as the "Nibbler"

The "Nibbler" is a foot-trip model clam shell style bottom sampler. This sampler is designed to collect unconsolidated sediments up to the size of small pebbles. The sampler is fabricated from sturdy bronze and stainless steel materials for trouble-free service in a marine environment.

The "Nibbler" consists of a long threaded post surrounded by a strong compression spring that presses against the jaws at one end and an adjustable screw cap at the upper end. By turning this threaded cap the spring-compression is adjusted, changing the strength at which the jaws close. A shackle is attached through a hole on the top of the post and a line attached. Due to the small of this sampler, it may be deployed either by using a heavy duty fishing pole or by using a handline.

Prior to deployment, the jaws are cocked open by manipulation of a triggering mechanism internal to the jaws. Upon impact with the seafloor the tension is momentarily released on the clam shell jaws, disengaging the internal trigger, and allowing the spring-tensioned jaws to snap shut.



Figure 17: The "Nibbler", a foot-trip clam shell style bottom sampler.

# **B. System Alignment and Accuracy**

# **B.1 Vessel Offsets and Layback**

#### **B.1.1 Vessel Offsets**

EM2040

During system integration, HSTP personnel chose the system reference point and reference frame to be centered on and aligned with the EM2040 transmit transducer. While this complicates configuration, it brings the POS MV into the multibeam reference frame. Having the positioning system and the multibeam system in the same reference system eliminates the need for different CARIS HIPS HVF entries depending upon the vertical-control workflow (e.g. ellipsoid vs water-level control).

Full static surveys were not conducted for Rainier's Jensen multibeam launches when the EM2040 multibeam systems replaced the previous outdated SWMB systems in 2017. Due to the relatively short baselines between the multibeam transducers, the POS MV IMU, and the POS MV antennas, new offsets

were determined by combining the previous NGS spatial relationship survey, the engineering drawings of the echo sounder mount, and a few additional field measurements.

As part of the field measurements, the bolt holes for the sonar transducer mounting plate were measured inside the hull relative to the IMU. With this measurement and the engineering drawing of the mount, the transducer offsets from the attachment hole was calculated. All transducers are mounted in a "forward" convention, with the transmitter cable on the port side, receiver cable output towards the bow.

The offsets between the EM2040 transmit transducer and the POS MV sensors (IMU and antennas) were entered into the POS MV. The patch test values (the residual misalignment between the multibeam and attitude sensor) were entered into the POS MV as well. SIS contains only the offsets between the transmit transducer and the receiver and the location of the waterline. The GAMS calibration was re-run after the POS MV reference frame was rotated with the patch test values to align the antenna baseline in the new frame.

Because the POS and Kongsberg frame are explicitly collocated and aligned upfront, the CARIS HIPS HVF is relatively simple. All fields contain all zeros with "apply = No" with the exception of the SVP, TPU, Waterline, and Dynamic Draft fields. SVP1 has all zeros, but SVP2 has the same offsets between the transmit transducer and receive transducer as is entered in SIS. The waterline is the same as the entry as is in SIS with "apply = No."

#### EM710

Similar to the launch configuration, the RP for Rainier's MBES system is defined as the EM710 transmit transducer phase center and the offset values spread out between the Kongsberg SIS ship file, the POS MV, and the CARIS HVF. In SIS the offsets entered account for the offset between the EM710 transmitter and receiver. In the POS MV the values entered account for offsets between the EM710 transmitter to the IMU along with the EM710 transmitter to the port antenna. Offsets in the CARIS HVF also account for the offset between the EM710 transmitter and receiver but is entered only in SVP 2 so that sound speed files are properly applied.

# Echotrac CV200

For the CV200 SBES in use on 2701 (RA2), the vessel offset values are stored in the CARIS HVF. The POS MV IMU is defined as Reference Point (RP). Ideally the RP should be as close as possible to the center of rotation for the vessel as feasible and this fact was taken into account when positioning the IMU although it is further forward than ideal due to the under-deck layout. Since the IMU is the source for all launch heave, pitch, roll, gyro, and navigation values, all of these sensors have X-Y-Z values of 0,0,0. Only Transducer 1 and SVP 1, the sonar unit, requires non-zero offset values entered.

# **B.1.1.1** Vessel Offset Correctors

Vessel	2701_CV200							
Echosounder	Teledyne Odom Ech	Teledyne Odom Echotrac CV200						
Date	2019-03-21	2019-03-21						
			Measurement	Uncertainty				
	MRU to Transducer	x	0.271 meters	0.020 meters				
	WIKO to Transducer	у	-0.575 meters	0.020 meters				
0.00		z	0.418 meters	0.020 meters				
Offsets		x	0.271 meters	0.020 meters				
	Nav to Transducer	у	-0.575 meters	0.020 meters				
		z	0.418 meters	0.020 meters				
	Transducer Roll	Roll	0.000 degrees					

Vessel	S221_Simrad-EM710_ICE							
Echosounder	Kongsberg Simrad E	Kongsberg Simrad EM710 0.5x1						
Date	2019-04-10	2019-04-10						
			Measurement	Uncertainty				
		x	1.704 meters	0.002 meters				
		у	8.059 meters	0.002 meters				
	MRU to Transducer	z	4.601 meters	0.002 meters				
		x2	1.759 meters	N/A				
		y2	6.802 meters	N/A				
		z2	4.600 meters	N/A				
Offsets		x	1.704 meters	0.002 meters				
		у	8.059 meters	0.002 meters				
	N. T. I.	z	4.601 meters	0.002 meters				
	Nav to Transducer	$x^2$	1.759 meters	N/A				
		y2	6.802 meters	N/A				
		z2	4.600 meters	N/A				
	Transducer Roll	Roll	0.000 degrees					
	Transuacer Non	Roll2	-0.308 degrees					

Vessel	2801_EM2040	2801_EM2040						
Echosounder	Kongsberg Simrad E	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode						
Date	2019-03-26	2019-03-26						
			Measurement	Uncertainty				
		x	0.203 meters	0.010 meters				
		у	0.136 meters	0.010 meters				
	MRU to Transducer	z	0.535 meters	0.010 meters				
		x2	-0.102 meters	N/A				
		y2	0.036 meters	N/A				
		z2	0.519 meters	N/A				
Offsets		x	0.203 meters	0.010 meters				
		У	0.136 meters	0.010 meters				
		z	0.535 meters	0.010 meters				
	Nav to Transducer	x2	-0.102 meters	N/A				
		y2	0.036 meters	N/A				
		z2	0.519 meters	N/A				
	Transducer Roll	Roll	0.000 degrees					
	Transancer Ron	Roll2	0.000 degrees					

Vessel	2802_EM2040	2802_EM2040						
Echosounder	Kongsberg Simrad E	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode						
Date	2019-06-18	2019-06-18						
			Measurement	Uncertainty				
		x	0.193 meters	0.010 meters				
		У	0.137 meters	0.010 meters				
	MRU to Transducer	z	0.537 meters	0.010 meters				
		x2	-0.112 meters	N/A				
		y2	0.037 meters	N/A				
		z2	0.521 meters	N/A				
Offsets		x	0.193 meters	0.010 meters				
		У	0.137 meters	0.010 meters				
		z	0.537 meters	0.010 meters				
	Nav to Transducer	x2	-0.112 meters	N/A				
		y2	0.037 meters	N/A				
		z2	0.521 meters	N/A				
	Transducer Roll	Roll	0.000 degrees					
	Tansaucer Rou	Roll2	0.000 degrees					

Vessel	2803_EM2040							
Echosounder	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode							
Date	2019-03-26	2019-03-26						
			Measurement	Uncertainty				
		x	0.189 meters	0.010 meters				
		у	0.135 meters	0.010 meters				
	MRU to Transducer	z	0.537 meters	0.010 meters				
		x2	-0.116 meters	N/A				
		y2	0.035 meters	N/A				
		z2	0.521 meters	N/A				
Offsets		x	0.189 meters	0.010 meters				
•		у	0.135 meters	0.010 meters				
		z	0.537 meters	0.010 meters				
	Nav to Transducer	x2	-0.116 meters	N/A				
		y2	0.035 meters	N/A				
		z2	0.521 meters	N/A				
	Transducer Roll	Roll	0.000 degrees					
		Roll2	0.000 degrees					

Vessel	2804_EM2040	2804_EM2040						
Echosounder	Kongsberg Simrad E	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode						
Date	2019-09-02	2019-09-02						
			Measurement	Uncertainty				
		x	0.198 meters	0.010 meters				
		у	0.094 meters	0.010 meters				
	MRU to Transducer	z	0.538 meters	0.010 meters				
		x2	-0.107 meters	N/A				
		y2	-0.006 meters	N/A				
		z2	0.522 meters	N/A				
Offsets		x	0.198 meters	0.010 meters				
		у	0.094 meters	0.010 meters				
		z	0.538 meters	0.010 meters				
	Nav to Transducer	x2	-0.107 meters	N/A				
		y2	-0.006 meters	N/A				
		z2	0.522 meters	N/A				
	Transducer Roll	Roll	0.000 degrees					
	Transaucer Kon	Roll2	0.000 degrees					

# **B.1.2** Layback

No towfish data were collected for the 2019 field season.

Layback correctors were not applied.

# **B.2 Static and Dynamic Draft**

#### **B.2.1 Static Draft**

All Rainier survey launches were constructed with integrated benchmarks that were later surveyed by the National Geodetic Survey, Geodetic Services Division Instrumentation & Methodologies Branch. For all launches two of these benchmarks are located on the outboard deck, both port and starboard, close to in-line with the IMU.

For all survey launch static draft values are determined using the two benchmarks located on the port and starboard outboard deck. A carpenter level was placed on these benchmarks and held level to the deck while either a steel tape or laser rangefinder was used to measure directly to the surface of the water. At the same

time the launch was kept level by observing the POS MV output and shifting personnel in the launch. Three measurements were taken on each benchmark. Both the port and starboard measurements differenced from the corresponding NGS benchmark to produce a waterline value.

These six values were averaged together to produce a final value. Draft uncertainty is determined based on the standard deviation of these six values. Values measured and derived may be found in the "2019\_WaterLine\_Loading" report attached to this document.

For Rainier, static draft is determined by direct measurement to the physical waterline. Draft measurements are taken throughout the field season any time there is major chance in the ship's draft (ex: after fueling). A good time to conduct these measurements is while the ship is inport where motion by both the ship and sea is minimized. The single measurement value entered into the DAPR is taken from the first draft reading than applies to a survey covered by this report.

For Rainier, multiple measurements (for averaging) are taken from port and starboard benchmarks to the actual waterline of the ship using the Impulse 200 LR handheld laser. These benchmarks, located on the top lip of the hull on "D" deck, were positioned using coordinate measurement data taken on the Rainier February 14 through February 19, 2014. Kongsberg conducted this ship sensor alignment & orthogonal coordinate survey through a subcontract with IMTEC while the ship was in a floating dry dock at Lake Union Drydock Company, Seattle, WA.

#### **B.2.1.1 Static Draft Correctors**

Vessel		2701_CV200	S221_Simrad- EM710_ICE	2801_EM2040	2802_EM2040	2803_EM2040	2804_EM2040
Date		2019-03-21	2019-06-21	2019-03-26	2019-03-28	2019-03-26	2019-03-26
Loadin	ig	0.030 meters	0.025 meters	0.013 meters	0.013 meters	0.013 meters	0.013 meters
Static	Measurement	0.058 meters	-4.762 meters	-0.643 meters	-0.632 meters	-0.642 meters	-0.638 meters
Draft	Uncertainty	0.004 meters	0.021 meters	0.007 meters	0.002 meters	0.006 meters	0.007 meters

## **B.2.2 Dynamic Draft**

The purpose of the dynamic draft and settlement & squat measurements (DDSSM) is to correlate a vessel's speed through the water with the vertical rise/fall of the vessel's Inertial Navigation System (INS) reference point (typically chosen to be coincident with Inertial Measurement Unit, IMU). Since both Rainier and her launches lack a method of accurately logging speed through the water, the GNSS-based speed over ground (SOG) is used as a proxy. Consequently, the presence of currents introduce errors into the DDSSM that must be mitigated by careful planning of data acquisition methods. Ideally, this test would be conducted in an area with no current, chop, or swell.

Historically, Rainier has performed DDSSM using the ellipsoidally-referenced method in Lake Washington, which is free of tidal effects, currents, and significant wave action. After the move to Newport, Oregon, this was no longer an option. Experiments using the ellipsoidally-referenced method in both open waters of the

Pacific Ocean and in the Yaquina River with daily currents up to 3 knots produced poor to unusable results. The best results are obtained by timing data acquisition to coincide with slack current but even these values were suspect.

Because of external factors, such as tide, current, wind, bottom depth, and method of measurement; dynamic draft measurements have been observed to vary insignificantly from year to year and between vessels of the same class. Since all launches found aboard the NOAA Ship Rainier and Fairweather are all of the same class (Jensen) with effectively the same hull design and characteristics it was proposed to use a single dynamic draft table for all launches. By analyzing 27 dynamic draft measurements collected from 2010 to 2015 between eight vessels (2801-2808), a class specific dynamic draft table with statistically robust values was created. All of Rainier's Jensen survey launches use this single dynamic draft table for 2019 field season. See the report "FA\_classHSL\_DynamicDraft" attached to this document for more information.

DDSSM for all four Rainier Jensen launches were determined as described above and applied to these launches for the 2019 field season.

DDSSM for Rainier was determined on May 1, 2013 using the ellipsoidally-referenced method just outside of Birch Bay, Puget Sound, Washington. To reduce the effect of any potential current, reciprocal lines were run at each RPM step in order to get an average speed over ground for each RPM. This average speed was used to estimate the vessel's speed through the water.

DDSSM for 2701 (RA2) was determined on June 15, 2018 using the ellipsoidally-referenced method near the entrance to Tracy Arm, Alaska. The launch ran reciprocal lines at 5 different speeds holding a steady RPM and dropping to idle for a brief time between each change of RPM. The resulting POS file was then processed to produce RMS and SBET files. These two files were then fed into the Pydro macro ProcSBETDynamicDraft.py that applied tides and computed both Speed over Ground (SOG) and ellipsoidal height for any given time. From this, a delta draft vs speed table and curve was generated using a 4th order best fit polynomial.

Dynamic draft and vessel offsets corrector values are stored in the HIPS Vessel Files (HVFs). Survey platforms which mount more than one acquisition system or use sonar systems with multiple frequencies have a separate HVF associated with each individual acquisition method. Each of these HVFs contains sensor offset and dynamic draft correctors that pertain to this single acquisition system. Sensor offset and dynamic draft correctors were applied to bathymetric data in CARIS during post-processing.

# **B.2.2.1 Dynamic Draft Correctors**

Vessel	2701_0	01_CV200   S221_Simrad- EM710_ICE		2801_EM2040		2802_EM2040 28		2803_EM2040		2804_EM2040			
Date	2018-0	5-13	2014-0	1-01	2015-0:	5-09	2015-04	4-13	2015-04	4-13	2015-04	2015-04-13	
	Speed (m/s)	Draft (m)	Speed (m/s)	Draft (m)	Speed (m/s)	Draft (m)	Speed (m/s)	Draft (m)	Speed (m/s)	Draft (m)	Speed (m/s)	Draft (m)	
	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
			0.50	-0.01	0.50	-0.01	0.50	-0.01	0.50	-0.01	0.50	-0.01	
	1.00	0.17	1.00	-0.02	1.00	-0.01	1.00	-0.01	1.00	-0.01	1.00	-0.01	
	1.50	0.21	1.50	-0.01	1.50	0.00	1.50	0.00	1.50	0.00	1.50	0.00	
	2.00	0.22	2.00	0.00	2.00	0.02	2.00	0.02	2.00	0.02	2.00	0.02	
	2.50	0.21	2.50	0.01	2.50	0.03	2.50	0.03	2.50	0.03	2.50	0.03	
	3.00	0.19	3.00	0.03	3.00	0.05	3.00	0.05	3.00	0.05	3.00	0.05	
Dynamic	3.50	0.16	3.50	0.05	3.50	0.05	3.50	0.05	3.50	0.05	3.50	0.05	
Draft	4.00	0.13	4.00	0.08	4.00	0.05	4.00	0.05	4.00	0.05	4.00	0.05	
	4.50	0.09	4.50	0.10	4.50	0.03	4.50	0.03	4.50	0.03	4.50	0.03	
	5.00	0.05	5.00	0.13	5.00	0.00	5.00	0.00	5.00	0.00	5.00	0.00	
	5.50	0.01	5.50	0.15	5.50	-0.05	5.50	-0.05	5.50	-0.05	5.50	-0.05	
	6.00	-0.03	6.00	0.17	6.00	-0.10	6.00	-0.10	6.00	-0.10	6.00	-0.10	
	7.00	-0.10	6.50	0.19	6.50	-0.14	6.50	-0.14	6.50	-0.14	6.50	-0.14	
	8.00	-0.14	7.00	0.21	7.00	-0.20	7.00	-0.20	7.00	-0.20	7.00	-0.20	
	9.00	-0.17											
	10.00	-0.18											
	11.00	-0.21											
	12.00	-0.27											
Uncertainty	Vessel Speed (m/s)	Delta Draft (m)	Vessel Speed (m/s)	Delta Draft (m)	Vessel Speed (m/s)	Delta Draft (m)	Vessel Speed (m/s)	Delta Draft (m)	Vessel Speed (m/s)	Delta Draft (m)	Vessel Speed (m/s)	Delta Draft (m)	
	0.08	0.01	0.08	0.01	0.08	0.01	0.08	0.01	0.08	0.01	0.08	0.01	

# **B.3** System Alignment

# **B.3.1 System Alignment Methods and Procedures**

EM2040

Patch testing was initially planned to be conducted for all four Jensen launches in Port Madison, WA in April as part of the HSRR. Patch test values are derived for each value after the applicable test using CARIS. These values are then entered into SIS before performing the next test. Once all tests are completed, the values were transferred to the POS MV, but with the opposite sign to accommodate rotating the IMU relative to the transducer rather than rotating the transducer relative to the IMU.

In their final state, CARIS HFV files for Kongsberg EM2040 multibeam sonar systems have the patch test values set to zero and all patch test values stored in the POS MV. Patch test values may be present in the HVF during Hydrographic System Readiness Review (HSRR) process when the patch test and reference surface are run on the same day. The reference surface can only be analyzed when the data has current patch test values applied. Since the patch test values are determined by a process which requires multiple rounds of processing carried out by different hydrographers to produce meaningful average values and standard deviations, final values may not be determined until days after the patch test and reference surface were collected. The only way to apply patch test values to the reference surface is to create backdated entries in the HVF. Once the final patch test values are determined, they are entered into the POS MV and zeroed out in the HVF This entire process should take place prior to the collection of any sonar data for use in charting products.

The 2019 field season had a rash of EM2040 failures that required transducer replacement and re-patch. Launch 2801 is the only system that survived the entire field system intact.

Launch 2802 had a mid-season failure (6/12/2019) of a TX transducer. A patch test was conducted on DN169 and new angular bias values were determined over the following days. Unfortunately, hydrographic data was also collected by launch 2802 before the new angular bias values could be entered into the POS MV. To fix this issue, angular bias values were entered in the HVF to cover the data collected before the new bias values could be entered into the POS MV. Angular bias values in the HVF were reset to zero only after the POS MV was updated with the new values. After arrival in Hawaii, launch 2802 experienced a mechanical failure which essentially ended her field season.

Launch 2803 had a failure (3/4/2019) of the RX transducer just before the HSRR. A replacement was eventually obtained from the NOAA Ship Thomas Jefferson and installed just prior to leaving the Puget Sound where HSRR was being conducted. A patch test and reference surface (4/29/2019) were run in Puget Sound but only the reference surface data proved usable. The patch was re-run (5/4/2019) in Alaska with good results. The HVF was backdated to DN119 to apply these new patch test values to the reference surface collected previously. Finally, the HVF angular bias values were reset to zero beginning on DN125 when these values were corrected in the POS MV and saved.

Launch 2804 had a mid-season failure (7/31/2019) of a TX transducer while working as part of the remote party in Hawaii. By this time, launch 2802 was down for a mechanical failure so her TX transducer was available for cannibalization. Unfortunately, since the field party was working far from any haul out facilities there was no way to replace the bad transducer until the field party relocated back to the Honolulu area. Since Rainier was due to get underway for a coral assessment cruise, the transducer was pulled by ship personnel from launch 2802 and left behind at MOC-PI. Once the field party moved back Honolulu as part of their planned work, 2804 was pulled out of the water and the transducer swapped by field party personnel.

A patch test for launch 2804 was run on DN245 in Honolulu but attempts to determine new angular bias values were thwarted by attitude artifacts seen in the data when using the CARIS calibration tool. This problem was eventually traced to a bug that failed to apply attitude compensation in the CARIS 11.1 calibration tool. A workaround using CARIS 10.3 to process the patch test yielded good results. Unfortunately, the POS MV angular bias values that had been zeroed out to perform the patch test were not saved and the POS MV reverted to the original HSRR values. With these errant angular bias values, hydrographic data was also collected for two days (DN261 & DN265) by launch 2804. To correct this issue, the HVF was modified to apply the difference between the new patch test values and those obtained during the original HSRR to the affected data. The HVF angular bias values were finally reset to zero beginning on DN266 when these values were corrected in the POS MV and saved.

#### Summary of successful EM2040 Patch tests:

(DN098) 2801 and 2802 Annual HSRR Patch Test

(DN099) 2804 Annual HSRR Patch Test

(DN125) 2803 new patch test - RX transducer replaced

(DN169) 2802 new patch test - TX transducer replaced

(DN245) 2804 new patch test - TX transducer replaced

#### EM710

As part of the upgrade to ice-hardened transducers for Rainier's EM710 system, Kongsberg service engineers attended the sea acceptance trials. During these trials, Rainier conducted MBES calibration tests for the Kongsberg EM710. In spite of the Kongsberg multibeam system working on multiple frequencies (70-100 kHz), only one patch test is required since the system has only one transducer. The calibration procedure used follows that outlined in section 1.5.5.1 of the 2014 Field Procedures Manual. Timing, pitch and yaw bias was determined using a steep slope. Roll bias was determined using the standard flat bottom method. The patch test was independently processed in CARIS HIPS, SwathEd, SIS, and Simrad Neptune, and the consensus values entered into SIS.

As part of the annual HSRR, Rainier conducted a patch test for the EM710 multibeam system to confirm the values from the 2014 installation remained unchanged. Without zeroing out any values in SIS or the POS MV, the patch test values would be expected to be at or near zero. The patch test results largely bore this out, although the yaw the obtained was larger than expected and entered into the CARIS HVF. The yaw bias will be closely examined next year to see if this trend continues. The SIS and the POS MV values were considered confirmed and left unchanged.

Due to the peculiarities of system integration, system alignment correctors for the ship are applied in the acquisition software (SIS) rather than in CARIS by way of the HVF. In addition, over the winter the ship's acquisition system stay mounted as installed during the 2014 dry-dock rather than being removed for maintenance and safe storage over the winter and re-installed for the field season as occurs on the launches. In light of these factors, the annual determination of alignment correctors for the ship is a verification of existing values rather than a determination of new values required for the launches due to the annual re-installation of the acquisition systems.

Data was converted in CARIS HIPS using the regular HVF file that already has the heave, pitch, roll and timing values set to zero. True heave, water levels, the most recent dynamic draft, and sound velocity profiles were applied and the data merged before cleaning via Swath Editor. Biases were determined using the CARIS HIPS Calibration tool by at least five individual testers. The multiple values determined for each bias by individual testers were examined by a reviewer, and obvious outliers rejected before an average was determined. This average value was then applied to the bias in question and applied to the data before moving on to the next bias determination. Bias values were determined in the following order; timing, pitch, roll, and finally yaw.

Since the alignment correctors should already be accounted for by SIS, the values determined by the patch test are expected to be zero. As long as the patch test values determined are within a standard deviation of zero, the system alignment is determined to be confirmed and no edits are made to the heave, pitch, roll and timing values in the CARIS HVF.

In addition to average values, standard deviation was also determined for each bias. These values were then used to adjust the Timing (s), MRU Roll/Pitch, and MRU Gyro uncertainties under TPU values in the HVF.

## **B.3.1.1 System Alignment Correctors**

Vessel	2701_CV200							
Echosounder	Teledyne Odom Odom Ed	Teledyne Odom Odom Echotrac CV						
Date	2019-03-21							
		Corrector	Uncertainty					
	Transducer Time Correction	0.000 seconds	0.010 seconds					
	Navigation Time Correction	0.000 seconds	0.010 seconds					
	Pitch	0.000 degrees	1.000 degrees					
Patch Test Values	Roll	0.000 degrees	1.000 degrees					
Fuich Test values	Yaw	0.000 degrees	1.000 degrees					
	Pitch Time Correction	0.000 seconds	0.010 seconds					
	Roll Time Correction	0.000 seconds	0.010 seconds					
	Yaw Time Correction	0.000 seconds	0.010 seconds					
	Heave Time Correction	0.000 seconds	0.010 seconds					

Vessel	S221_Simrad-EM710_ICE						
Echosounder	Kongsberg Simrad EM710 0.5x1						
Date	2019-04-10						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.008 seconds				
	Navigation Time Correction	0.000 seconds	0.008 seconds				
	Pitch	0.000 degrees	0.144 degrees				
   Patch Test Values	Roll	0.000 degrees	0.144 degrees				
Faich Test values	Yaw	1.368 degrees	0.102 degrees				
	Pitch Time Correction	0.000 seconds	0.008 seconds				
	Roll Time Correction	0.000 seconds	0.008 seconds				
	Yaw Time Correction	0.000 seconds	0.008 seconds				
	Heave Time Correction	0.000 seconds	0.008 seconds				
Date	2019-04-10						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.008 seconds				
	Navigation Time Correction	0.000 seconds	0.008 seconds				
	Pitch	0.000 degrees	0.144 degrees				
Patch Test Values	Roll	0.000 degrees	0.144 degrees				
(Transducer 2)	Yaw	0.000 degrees	0.102 degrees				
	Pitch Time Correction	0.000 seconds	0.008 seconds				
	Roll Time Correction	0.000 seconds	0.008 seconds				
	Yaw Time Correction	0.000 seconds	0.008 seconds				
	Heave Time Correction	0.000 seconds	0.008 seconds				

Vessel	2801_EM2040						
Echosounder	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode						
Date	2019-04-09						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.005 seconds				
	Navigation Time Correction	0.000 seconds	0.005 seconds				
	Pitch	0.000 degrees	0.108 degrees				
Patch Test Values	Roll	0.000 degrees	0.108 degrees				
Faich Test values	Yaw	0.000 degrees	0.470 degrees				
	Pitch Time Correction	0.002 seconds	0.005 seconds				
	Roll Time Correction	0.000 seconds	0.005 seconds				
	Yaw Time Correction	0.000 seconds	0.005 seconds				
	Heave Time Correction	0.000 seconds	0.005 seconds				
Date	2019-04-09						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.005 seconds				
	Navigation Time Correction	0.000 seconds	0.005 seconds				
	Pitch	0.000 degrees	0.108 degrees				
Patch Test Values	Roll	0.000 degrees	0.108 degrees				
(Transducer 2)	Yaw	0.000 degrees	0.470 degrees				
	Pitch Time Correction	0.002 seconds	0.005 seconds				
	Roll Time Correction	0.000 seconds	0.005 seconds				
	Yaw Time Correction	0.000 seconds	0.005 seconds				
	Heave Time Correction	0.000 seconds	0.005 seconds				

Vessel	2802_EM2040						
Echosounder	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode						
Date	2019-09-09						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.005 seconds				
	Navigation Time Correction	0.000 seconds	0.005 seconds				
	Pitch	0.000 degrees	0.098 degrees				
Patch Test Values	Roll	0.000 degrees	0.098 degrees				
Faich Test values	Yaw	0.000 degrees	0.136 degrees				
	Pitch Time Correction	0.002 seconds	0.005 seconds				
	Roll Time Correction	0.000 seconds	0.005 seconds				
	Yaw Time Correction	0.000 seconds	0.005 seconds				
	Heave Time Correction	0.000 seconds	0.005 seconds				
Date	2019-09-09						
		Corrector	Uncertainty				
	Transducer Time Correction	0.000 seconds	0.005 seconds				
	Navigation Time Correction	0.000 seconds	0.005 seconds				
	Pitch	0.000 degrees	0.098 degrees				
Patch Test Values	Roll	0.000 degrees	0.098 degrees				
(Transducer 2)	Yaw	0.000 degrees	0.136 degrees				
	Pitch Time Correction	0.002 seconds	0.005 seconds				
	Roll Time Correction	0.000 seconds	0.005 seconds				
	Yaw Time Correction	0.000 seconds	0.005 seconds				
	Heave Time Correction	0.000 seconds	0.005 seconds				

Vessel	2803_EM2040			
Echosounder	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode			
Date	2019-05-05			
Patch Test Values		Corrector	Uncertainty	
	Transducer Time Correction	0.000 seconds	0.005 seconds	
	Navigation Time Correction	0.000 seconds	0.005 seconds	
	Pitch	0.000 degrees	0.021 degrees	
	Roll	0.000 degrees	0.021 degrees	
	Yaw	0.000 degrees	0.227 degrees	
	Pitch Time Correction	0.002 seconds	0.005 seconds	
	Roll Time Correction	0.000 seconds	0.005 seconds	
	Yaw Time Correction	0.000 seconds	0.005 seconds	
	Heave Time Correction	0.000 seconds	0.005 seconds	
Date	2019-05-05			
Patch Test Values (Transducer 2)		Corrector	Uncertainty	
	Transducer Time Correction	0.000 seconds	0.005 seconds	
	Navigation Time Correction	0.000 seconds	0.005 seconds	
	Pitch	0.000 degrees	0.021 degrees	
	Roll	0.000 degrees	0.021 degrees	
	Yaw	0.000 degrees	0.227 degrees	
	Pitch Time Correction	0.002 seconds	0.005 seconds	
	Roll Time Correction	0.000 seconds	0.005 seconds	
	Yaw Time Correction	0.000 seconds	0.005 seconds	
	Heave Time Correction	0.000 seconds	0.005 seconds	

Vessel	2804_EM2040			
Echosounder	Kongsberg Simrad EM2040 300kHz 0.5x1_Normal Mode			
Date	2019-09-23			
Patch Test Values		Corrector	Uncertainty	
	Transducer Time Correction	0.000 seconds	0.005 seconds	
	Navigation Time Correction	0.000 seconds	0.005 seconds	
	Pitch	0.000 degrees	0.247 degrees	
	Roll	0.000 degrees	0.247 degrees	
	Yaw	0.000 degrees	0.362 degrees	
	Pitch Time Correction	0.002 seconds	0.005 seconds	
	Roll Time Correction	0.000 seconds	0.005 seconds	
	Yaw Time Correction	0.000 seconds	0.005 seconds	
	Heave Time Correction	0.000 seconds	0.005 seconds	
Date	2019-09-23			
Patch Test Values (Transducer 2)		Corrector	Uncertainty	
	Transducer Time Correction	0.000 seconds	0.005 seconds	
	Navigation Time Correction	0.000 seconds	0.005 seconds	
	Pitch	0.000 degrees	0.247 degrees	
	Roll	0.000 degrees	0.247 degrees	
	Yaw	0.000 degrees	0.362 degrees	
	Pitch Time Correction	0.002 seconds	0.005 seconds	
	Roll Time Correction	0.000 seconds	0.005 seconds	
	Yaw Time Correction	0.000 seconds	0.005 seconds	
	Heave Time Correction	0.000 seconds	0.005 seconds	

# C. Data Acquisition and Processing

# **C.1 Bathymetry**

# **C.1.1** Multibeam Echosounder

# **Data Acquisition Methods and Procedures**

For both the Rainier's Kongsberg EM710 and the launch Kongsberg EM2040 systems, multibeam data were monitored in real-time with the acquisition software, SIS (Seafloor Information System). Data were displayed using 2-D and 3-D data display windows in the real-time screen display.

For launch acquisition, real-time coverage tools are now exclusively used to assess MBES coverage in lieu of traditional pre-planned line files. During the planning stage, "bite sized" polygons were arranged to cover the entire survey area of each assigned sheet. These polygons were devised to fall within a similar depth range band so that they could be acquired at the proper resolution to find holidays as they occurred in the field. Polygons were also shaped to optimize running with the contours and not against them. Polygons covering deeper areas were planned to be larger than those covering shoaler areas. In general, polygons were sized such that a launch could expect to complete 3 to 5 polygons per day.

Once the polygons were drawn using CARIS BDB or CARIS Notebook, they were exported as S-57 (.000) files or shape files since Hysweep can handle either format. Hysweep displays these polygons over the chart in addition to plotting the MBES swath coverage as it is collected. This display of the real-time swath coverage is based upon the matrix file, a polygon with user defined geographic bounds and resolution set up prior to data collection. The resolution of the matrix is selected to match depth range of the polygon currently being worked on. The launch coxswain uses this matrix display to adjust the line as it is driven so that the swath currently being collected overlaps the grid of previously collected data. By keeping a close eye on the matrix file during initial data collection, any holidays are immediately evident in the field and can easily be filled in. This method of data acquisition saves time in both the pre-planning stage as well as greatly reducing the need for filling holidays during the subsequent rounds of data acquisition. Traditional holiday lines, small polygons, or exported CARIS BASE surface GeoTIFFs may be used to direct data acquisition after post-processing in the event of any holidays found later in the data processing pipeline.

For ship acquisition, a blended solution of line planning and real-time coverage is adopted. At the start of acquisition, a single line is drawn, which the ship navigates via HYPACK. Throughout the line, the survey team notes the swath width and, based on these values, renders the subsequent survey line in such a way to provide ~10% overlap with the previous line. In this way, lines are used to minimize the number of turns and course adjustments required for the relatively un-maneuverable Rainier; while the real-time coverage is used to prevent excessive overlap or holidays based on an (ill-informed) a priori line plan.

#### **Data Processing Methods and Procedures**

Following acquisition, multibeam sonar data were processed by using the Pydro tool "Charlene". Charlene is a HSTP developed software utility that automates all of the tasks in-between raw data collection and a final daily product that occur each night. These steps are:

- 1. Transferring raw data from the Xfer drive to the ship's network
- 2. Conversion of "raw" SIS data to the HDCS data format.
- 3. Load predicted tides.

Although observed tides may be available by the time night processing occurs, predicted tides are selected. Experience has shown that attempting to download and apply observed tides using Charlene often results in failure due to intermittent internet conductivity while the ship is at sea. Charlene may not handle this failure well resulting in data being flagged as tide corrected when it isn't, resulting in a cascade of failures further down the processing pipeline that are difficult to troubleshoot.

4. Import Sensor Data (delayed heave, SBET, RMS)

During typical night processing only delayed heave is configured to be imported by Charlene. Since POS MV files are processed concurrent with or later than the multibeam data, the SBET and RMS files are not ready to be imported.

- 5. Process Data (Georeference Bathymetry), HIPS 11 combines three processes (sound velocity corrections, TPU computations, and vertical datums transformations) in this step.
- 6. Optionally create a VR depth range surface to check for density issues and holidays using QC tools.

Charlene uses the following options when CUBE surfaces are created:

- Surface Type CUBE
- IHO S-44 Order Order 1a
- Include status check Accepted, Examined and Outstanding
- Disambiguation method Density & Locale (this method selects the hypothesis that contains the greatest number of soundings and is consistent with neighboring nodes).
- Advanced Configuration Grid-resolution thresholds are set as a function of depth range as described in the HSSD.

After consultation with the sheet manager, preliminary data cleaning may be performed on "QC" field sheet. Each surface is masked to the appropriate depth range for its resolution using the attribute filter found in the "properties" of the depth layer. The Attribute Filter is enabled by selecting the check box. The filter is set by checking on the button and changing the expression to read "Depth >X AND Depth <Y" where X= min depth for the resolution and Y= max depth for the resolution. E.g. a 2 m resolution surface would get the expression: Depth >18 AND Depth <40.

Preliminary data cleaning is performed daily using "QC" field sheet CUBE surface as a guide for "directed editing". Typically the night processing crew only cleans out the most blatant of fliers and blow-outs, leaving the final cleaning to the sheet manager. Depth, Standard Deviation, Hypothesis Strength and Hypothesis Count models derived from the boat-day surface are viewed with appropriate vertical exaggeration and a variety of sun illumination angles to highlight potential problem areas. Based on this analysis the most appropriate cleaning method is selected as follows:

- Subset Mode is the default tool selected due to its ability to quickly compare large numbers of soundings with adjacent or overlapping data for confirmation or rejection. Subset mode also excels with the assessment of possible features, disagreement between overlapping lines, and crossline comparison. Subset Mode can be used to visually enhance patterns and anomalies in CUBE surfaces.
- Swath Editor is useful for burst noise, multipath, and other "gross fliers" which are specific to a particular line or lines, and most easily removed in this mode. Additionally, when it was felt that the quality of the data was reduced due to environmental conditions such as rough seas or extreme variance in sound velocity, data were filtered on a line by line basis to a lesser swath width to ensure data quality.

• Both modes (but particularly Swath Editor) are used as a training aid to help novices learn how the various sonars operate, and provide feedback to the acquisition process.

With the advent of CUBE-based processing, it has become possible to adjust the final bathymetric surface directly by selecting the correct hypothesis to use. Although this method is available, it is not permitted and it is standard practice on Rainier to clean soundings in the traditional method until the CUBE algorithm selects the correct hypothesis.

Once all the data from all survey platforms is cleaned based on the depth range to which they will be finalized, the "QC" field sheet CUBE surfaces are examined to ensure bottom coverage and plan additional lines or polygons to fill "holidays". In addition, the "QC" field sheet is used to compare adjacent lines and crosslines, for systematic errors such as tide or sound velocity errors, sensor error, sonar errors (consistent bad beams), vessel configuration problems, and noise. Any irregular patterns or problems are reported immediately to the FOO and the Survey Manager so that remedies can be found and applied before more data are acquired.

Following directions spelled out in Hydrographic Surveys Technical Directive 2017-2, Variable Resolution (VR) grids are now the final surface deliverable. Due to both a lack of optimization of the "new" VR surfaces in CARIS and older processing machines, Rainier found it difficult to exclusively utilize VR grids as the sole product of night-processing and instead a hybrid approach was used. For initial cleaning single resolution grids are often used while a separate VR surface is analyzed with Pydro QC Tools for density issues and holidays. Only later down the processing pipeline when most of the "bad data" has been cleaned out are VR surface solely used. These VR surfaces are analyzed with QC Tools for fliers during final cleaning in preparation for submission.

Sounding data is added to a master "QC" field sheet encompassing the entire survey. The naming convention of this "QC" sheet naming is Hxxxxx\_QC (e.g., H12345\_QC).

A coarse 4m resolution "Launch" BASE surface may also be maintained for use in the survey launches during data acquisition. The 4m resolution was selected to maintain smaller, easily transportable GeoTiff files.

- Naming convention is Hxxxxx\_4m\_DNxxx.
- The surface is created as a single resolution CUBE surface at 4m resolution.
- The CUBE surface is colored using a standardized custom Rainier generated CARIS Colour Range table.
- The color palette selected is intended to aid swift navigation over previously surveyed areas in addition to highlighting shallow areas.

On occasion a finer 1m resolution BASE surface may be created for use in the field when survey launches are expecting to work nearshore. The naming convention and custom CARIS Colour Range table used remain the same as the aforementioned coarse 4m surface.

#### **C.1.2 Single Beam Echosounder**

## **Data Acquisition Methods and Procedures**

Launch 2701 (RA2) is the only Rainier survey launch equipped with a single beam echo sounder system (SBES). Currently the primary use of 2701 is to drive parallel to shore as close as safe and practical to the NALL and collect shoreline data by simultaneously collecting Odom SBES data of the seafloor and Velodyne LiDAR data on exposed features. The SBES data are monitored in real-time using the Echotrac control program eChart and recorded by HYPACK 2018 as .RAW files. Adjustable parameters include gain and transmit power.

#### **Data Processing Methods and Procedures**

The conversion and application of correctors to single beam data generally mirrors that multibeam data. Surface sound speed is an exception since a surface sound speed sensor is not mounted (or needed) for a single beam system. Single beam is converted into a dedicated project (Hxxxxx\_SB) and kept separate from the MBES data. A static surface sound velocity value derived from the CTD cast is used during the import of sensor data and a value of 0.00 is used for the "Surface Sound Velocity" when TPU is computed during the georeference bathymetry step.

Philosophically the cleaning of single beam data must be handled in a different way than multibeam. A BASE surface relies on the number and density of soundings to cancel out the effect of fliers when creating a surface. However, single beam data is often collected in a single line inshore of any MBES coverage. Because this lone line lacks both numbers and density of soundings, any single errant sounding will cause an error in the BASE surface. Single beam must be cleaned to remove every single flier. This is accomplished using the CARIS Single Beam Editor, which allows the user to scroll a line of single beam in profile view and reject soundings as necessary.

## C.1.3 Phase Measuring Bathymetric Sonar

Phase measuring bathymetric sonar bathymetry was not acquired.

## **C.1.4 Gridding and Surface Generation**

#### **C.1.4.1 Surface Generation Overview**

Although the 2019 HSSD still contains provisions for single-resolution deliverables, Hydrographic Surveys Technical Directive 2017-2 expressed the desire that all NOAA field units should use variable resolution surfaces to the greatest extent possible. Rainier submitted VR surfaces as the deliverable for all 2019 surveys.

For variable-resolution deliverables, the hydrographer creates two surfaces. First is a single surface for the entire hydrographic survey and second is a finalized version of this single surface with the option to honor

designated soundings selected. VR surfaces submitted adhere to the following naming convention and use 'VR' for the 'units of resolution:

<Survey Registry Number>\_<Sounding Type>\_<Units of Resolution>\_<Vertical Datum>

Although CARIS provides several options for the creation of VR surfaces, only surfaces using depth-based methods (with prescribed grid-resolution thresholds) or density-based estimation methods (using the Calder-Rice algorithm) are approved. In the case of a depth-based surface, object detection coverage and complete coverage surfaces each have a separate set of approved grid-resolution thresholds.

Although Rainier has experimented with both depth-based and density-based VR surfaces, depth-based surfaces became the preferred method and all VR surfaces submitted for the 2019 field season are of the depth-based variety. It has been Rainier's observations that a Calder-Rice density-based surface is ~7 times larger than a depth ranges surface covering the same area. Depth-based surfaces also appear superior in terms of processing time and ease of use in CARIS.

# **C.1.4.2 Depth Derivation**

Final depth generation has not been a part of Rainier's processing pipeline ever since CUBE surfaces became the final deliverable.

# **C.1.4.3 Surface Computation Algorithm**

VR surfaces created aboard Rainier adhere to a set of recommended estimation parameters and mandatory population method parameters as documented in 2019 HSSD. Estimation method parameters for Depth-Based CARIS VR Surfaces deal with Range/Resolution values in addition to maximum and minimum grid size. Estimation method parameters for Density-Based CARIS VR Surfaces deal with estimation method (Calder-Rice Density is required), finest cell resolution, in addition to maximum and minimum grid size. Population method parameters for all CARIS VR surfaces deals with horizontal and vertical uncertainty calculation methods, IHO order, and disambiguation method for a given surface in addition to the CUBE configuration parameters values.

# C.2 Imagery

#### C.2.1 Multibeam Backscatter Data

**Data Acquisition Methods and Procedures** 

Backscatter data are collected by default with the EM710 on Rainier and the EM2040s on her launches.

#### **Data Processing Methods and Procedures**

Prior to the 2018 field season HSD issued a Technical Directive that tasked NOAA field units to create and submit processed multibeam acoustic backscatter data using QPS' Fledermaus Geocoder Tool Box (FMGT) software. Since current sonar systems are generally not calibrated, it is inadvisable to mosaic together backscatter from different vessels without explicitly accounting for the relative calibration differences between the systems. To this end, an inter-vessel backscatter calibration test was devised. A single line roughly 200 – 300 meters in length in an area with a flat, consistent surface, free of metal or debris was selected. For each launch the line was run in both directions at survey speed (8 – 10 kts), at every frequency (200, 300, 400 kHz), using every pulse length (SP, LP, FM) for a total of 16 lines per vessel (400 kHz does not have an FM pulse length).

Relative calibration offsets for each vessel is determined by using commercial software (QPS FMGT) to created mosaics of each survey line. A cross-correlation analysis of the mosaic histograms reveals distinct decibel differences for each vessel, frequency, and pulse length. These offsets values are then used to normalize between common acquisition modes in post-processing, allowing for a more visually consistent mosaic and consistent backscatter data across multiple platforms.

Rainier processes multibeam backscatter to create GSF files and generate backscatter mosaics using QPS FMGT software. The Generic Sensor Files (GSF) created by FMGT contains the backscatter data from Kongsberg .ALL raw data combined with the processed bathymetry located in the HDCS files. Rainier processed and submitted GSF files and backscatter mosaics (one mosaic per frequency for each survey sheet) as part of the regular data submission package.

Following acquisition, backscatter data is processed by using the program FM Geocoder Toolbox (FMGT) and following these steps:

- 1) A new project is created for each sheet and each vessel and each sonar frequency. Thus one sheet can have multiple projects, one (or more) for each launch and possibly one more for the Rainier.
- 2) Vessel parameters are set. Vessel parameters allow the hydrographer to set configurations for each launch, frequency, and pulse length, in order calibrate slight differences in decibel levels. This results in a smoother, less patchwork appearance of backscatter mosaics between each launch and frequency/pulse length. Parameter values may be determined by running a calibration line in the same direction with each possible combination of vessel, frequency, and pulse length. Rainier collects backscatter calibration yearly as part of the HSRR.
- 3) Utilizing inter-boat intensity offsets, lines are combined into single-frequency, multi-boat mosaics. Therefore, if multiple boats had worked in both 200kHz and 300kHz on a single sheet, 2 mosaics are created; one for each frequency.
- 4) When creating a mosaic for submission, any crosslines not needed in the mosaic are deselected. Export type is set as grayscale GeoTIFF. Initial guidance at the beginning of the field season recommended no more than 35 MB per mosaic in an attempt to keep the file sizes low to allow for easier distribution through the website and other public forums. Multibeam backscatter mosaics follow the naming convention as described in the 2019 HSSD; <Survey registry number>\_<Sounding Type>\_<Units of

resolution>\_<vessel>\_<frequency>\_<xofx> where sounding type is 'MBAB' for multibeam echo sounder acoustic backscatter.

#### C.2.2 Side Scan Sonar

Side scan sonar imagery was not acquired.

## C.2.3 Phase Measuring Bathymetric Sonar

Phase measuring bathymetric sonar imagery was not acquired.

# C.3 Horizontal and Vertical Control

#### C.3.1 Horizontal Control

#### C.3.1.1 GNSS Base Station Data

GNSS base station data were not acquired.

#### C.3.1.2 DGPS Data

## **Data Acquisition Methods and Procedures**

The POS MV are optionally configured to receive correctors from the Wide Area Augmentation System (WAAS). The WAAS is a Satellite Based Augmentation System (SBAS) for North America, developed by the Federal Aviation Administration and the Department of Transportation as an aid to air navigation. Usable by any WAAS-enabled GPS receiver, WAAS corrects for GPS signal errors caused by ionospheric disturbances, timing and satellite orbit errors, and it provides vital integrity information regarding the health of each GPS satellite.

WAAS consists of multiple widely-spaced Wide Area Reference Stations (WRS) sites that monitor GPS satellite data. The WRS locations are precisely surveyed so that any errors in the received GPS signals can be detected. Two master stations, located on either coast, collect data from the reference stations via a terrestrial communications network and create a GPS correction message. This correction accounts for GPS satellite orbit and clock drift plus signal delays caused by the atmosphere and ionosphere. The corrected differential message is then broadcast through geostationary satellites with a fixed position over the equator. The information is compatible with the basic GPS signal structure, which means any WAAS-enabled GPS receiver can read the signal.

The WAAS specification requires it to provide a position accuracy of 7.6 meters (25 ft) or better (for both horizontal and vertical measurements), at least 95% of the time. Actual performance measurements of the system at specific locations have shown it typically provides better than 1.0 meter horizontally and 1.5

meters vertically throughout most of the contiguous United States and large parts of Canada and Alaska. In more remote regions of Alaska, values range between 2 and 6 meters horizontally.

All POS MV systems in use on Rainier and her survey launches are configured to receive WAAS correctors.

## **Data Processing Methods and Procedures**

All WAAS are applied in real time, no post-processing of individual DGPS correctors occurs in Rainier's processing pipeline.

#### **C.3.2 Vertical Control**

#### C.3.2.1 Water Level Data

Water level data were not acquired.

# C.3.2.2 Optical Level Data

Optical level data were not acquired.

# **C.4 Vessel Positioning**

#### Data Acquisition Methods and Procedures

All real time position and attitude data are acquired using POSView and post processed using POSPac MMS.

POS MV .000 files are collected by Rainer daily, beginning at least five minutes before the collection of bathymetric data and ending at least five minutes after the conclusion of bathymetric data collection. While conducting 24 hours operations, the POS file is usually broken up into 12 hour pieces to facilitate processing and prevent potential data loss. Logging is started by opening the MV-POSView window and selecting "Ethernet Realtime..." from the Logging menu. In the Ethernet Realtime Output Control window only the following message groups are selected:

For 2701; 3, 7, 10, 20, 102, 111, 112 and 113

For Rainier & MBES launches; 1-5, 9, 10, 99, 110, 112, 10001, 10007, 10008, 10009, 10011 and 10012

The Output Control rate is also set to '50Hz'.

Although it is not necessary to break a line that would cross over UTC midnight, during 24-hour operations Rainier makes the effort to break logging as near that time as possible to ease processing bookkeeping.

By following this method, a block of ~24 hours of data is processed using Charlene and saved in a CARIS DN folder that corresponds to the actual DN of the data. If UTC midnight occurs mid-line, logging will be continued until the end of the line is reached where the record may be broken during the turn. An exception to this procedure occurs at the end of the GPS week (UTC midnight on Saturdays) where it is important not to log through UTC midnight.

For typical launch operations it is not necessary to break a line that would cross over UTC midnight. By following this method, a single POS file is generated during a "launch day". This POS file when processed along with the rest of the launch data creates a single CARIS DN folder that may contain a few lines of data collected after UTC midnight. An exception to this procedure occurs at the end of the GPS week (UTC midnight on Saturdays) where it is important not to log through UTC midnight.

# **Data Processing Methods and Procedures**

Rainier utilizes Post Processed Kinematic (PPK) methods for the horizontal positioning of bathymetric data. The exact method selected is based upon the availability, or lack thereof, of Continually Operating Reference Stations (CORS) near the project area. The four methods available in order of preference are 1) PP-RTX, 2) Smart Base, 3) Single Base, and finally 4) Precise Point Positioning (PPP). For all 2019 projects, Post-Processed Real Time Extended (PP-RTX) was exclusively used to post-process positioning data.

#### PP-RTX:

Post-Processed Real Time Extended (PP-RTX) is the Trimble CenterPoint RTX positioning solution which combines the methodology of PPP with advanced ambiguity resolution technology to produce cm level accuracies without the need for local reference stations. PP-RTX is used when CORS stations are unavailable and a shore-side reference station would be difficult or impossible to install due to topography, distance from shore, or land use restrictions. RTX positioning has been shown to produce excellent results and is on its way to supplanting Smart Base and Single Base as the preferred processing method.

Smoothed Best Estimate of Trajectory (SBET) files and associated Root Mean Square (RMS) files are calculated using the Applanix Position and Orientation System Post-Processing Package Mobile Mapping Suite (POSPac MMS) software. All SBET/RMS files are created in POSPac MMS using the "Post Processed Real Time Extended" (PP-RTX) aided-inertial processing mode that uses both terrestrial based reference station data combined with wide-area coverage GNSS satellite corrections to generate precise orbit, clock, and observation biases for satellites on a global scale. These corrections are accessed by POSPac MMS 8.1 via internet access to a Trimble network to provide centimeter level positioning corrections which are then applied by RTX to ship and survey launch POS files. No locally installed GPS base stations or CORS station data are used to generate PP-RTX mode SBET/RMS files.

#### **Smart Base:**

Smart Base is the preferred method when a minimum of four (six recommended) CORS stations are available for selection near the project area. In situations with a maximum baseline of 70 km, an optimal horizontal accuracy of 3-10 cm should be achieved.

Applanix POSPac software is used to produce a Smoothed Best Estimate of Trajectory (SBET) file. The SBET file consists of GPS position and attitude data corrected and integrated with inertial measurements and reference station correctors, exported into WGS84. The SBET is created using the Applanix proprietary "SmartBase" algorithm, which generates a Virtual Reference Station (VRS) on site from a network of established reference stations surrounding the project area, generally the Continually Operating Reference Station (CORS) network. Reference station data is downloaded with the POSPac MMS download tool and usually available within 24 hours. These SBET navigation and attitude files are applied to all lines in CARIS and supersede initial positioning and attitude data. For further details on the CORS network stations utilized in addition to processing methodology, refer to the HVCR of the appropriate project.

#### Single Base:

Due to the dearth of permanent GPS stations installed in the remote regions of Alaska a Smart Base solution utilizing multiple base stations is often not practicable. Single Base is the preferred method when there are not enough CORS stations to form a SmartBase network or when no CORS stations are available and Rainier personnel must establish a GPS base station. In a short baseline situation with a maximum baseline of 20-30 km to the control station, an optimal horizontal accuracy of <10 cm should be achieved.

The Single Base solution of processing SBETs requires the input of attitude data acquired by the POS MV in addition to simultaneously collected base station data. Vessel kinematic data is post-processed using Applanix POSPac processing software, POSGNSS processing software and Single Base processing methods. These SBET navigation and attitude files are applied to all lines in CARIS and supersede initial positioning and attitude data. For further details on the CORS station(s) and/or Rainier installed GPS base station(s) utilized in addition to processing methodology, refer to the HVCR of the appropriate project.

# Precise Point Positioning:

Precise Point Positioning (PPP) is used as a last resort when Smart Base or Single Base is not available. This occurs when Rainier conducts survey operations far enough offshore that it is physically impossible to install a shore base station within the recommended 20km radius. Precise Point Positioning may also be used to cover data gaps and/or outages in data from a CORS station or a Rainier installed base station. When PPP is chosen, an optimal horizontal accuracy of 10-50 cm should be achieved.

## PP-RTX Processing Methodology:

- 1) Open POSPac MMS 8.2.x or higher.
- 2) Create a New Project by clicking New Project on the Project tab.
- 3) Open the .000 POS file found in the appropriate raw data folder and wait for the ephemerides to download.
- 4) Click on "Trimble PP-RTX" button to generate PP-RTX.
- 5) Click the Gold Star "GNSS-Inertial Processor" button, verify the processing mode is set to In-Fusion PP-RTX, and click the Fast Forward icon to perform all processing.

- 6) Click Display Plots and look for spikes or use the AutoQC tool for POSPac SBET Quality Control.
- 7) Once SBET quality is confirmed to be of sufficient quality to proceed, export the project with a File Format of "Custom Smooth Bet". This will export a custom SBET in NAD83.
- 8) Copy the SBET and RMS files to the appropriate folder on the processed sheet directory. Rename the export\_YYYY\_DDD\_VSSL\_A.out file to the following format: YYYY\_DDD\_VSSL\_A\_SBET.out and the smrmsg YYYY DDD VSSL A.out file to the following format: YYYY DDD VSSL A RMS.out.

Single Baseline and SmartBase processing Methodology:

POSPac .000 and base station data processing conforms to the Ellipsoidally Referenced Surveys Standard Operating Procedure document in the Appendix IV of the FPM. By post processing the POSPac .000, GNSS and base station data, POSPac creates SBET (smoothed best estimate trajectory) files which are used by CARIS along with the corresponding POSPac .000 file to improve the data collected. Applying SBETs in CARIS HIPS increase the accuracies of attitude and navigation related data. Currently it is the responsibility of the HorCon project manager and the sheet manager to work together applying SBETs to the survey after post acquisition tasks are complete.

POSPac has two options for handling shore stations, Single Baseline and SmartBase processing. SmartBase processing is the preferred method but Rainier must often install their own base station and use the single base station method due to the dearth of CORS stations in Alaska.

For the single base station method, the primary-reference baseline separation must be less than 20 km at the start and end of the mission and can occasionally grow to 100 km during the mission. For the SmartBase method, an optimal network consists of six to eight reference stations evenly distributed around the surveyed area and separated by 50 to 70 km. A minimum of four stations are required for Applanix SmartBase processing.

Initial base station processing requires:

- Processing RAW GPS base station data When geographically possible, raw GPS data is downloaded daily from shore stations as (.T01/.T02) files. These files are converted into RINEX format using Trimble utility program "Convert to RINEX TBC utility" v2.1.1.0. Three files are produced, files .YYg, .YYn, and .YYo.
- Obtaining Base Station OPUS Solution -- After creating RINEX files from the base station receiver raw file, the .YYo file is then submitted to OPUS in order to get a precise position solution. If bandwidth is an issue, as it usually is aboard the ship, the RINEX file may need to be decimated and zipped to get the file size smaller and achieve a reasonable upload time. A 3mb file usually takes about 3-5 minutes to upload on the ship's Vsat.
- OPUS reference frame and format -- Once the RINEX file size is reasonable (under 7mb), go to the OPUS website at: http://www.ngs.noaa.gov/OPUS. At the OPUS site the user is given the option to choose the new IGS08 reference frame or the old ITRF00 reference frame. Until further testing and verification is done,

Rainier continues to use the old ITRF00 reference frame. For Solution Formats, the extended solution + XML (DRAFT) is selected. Once processed, a NGS OPUS solution report is produced in .txt format. It is in this report that the WGS84 coordinates of the base station which are later entered into POSPac are found.

# Single Base Station Processing:

- 1) Open Applanix POSPac<sup>TM</sup> Mobile Mapping Suite and set up the project
- 2) Load the Applanix .000 file (recorded on the launch)
- 3) Load the satellite data logged by the base station (the .YYo file that corresponds to the day number being processed).
- 4) Once the coordinate manager window opens, the true ITRF coordinates from the OPUS report is input. The same ITRF coordinates are used throughout the project and are checked against "new" OPUS solutions to maintain consistency.
- 5) Both the SBET (in ITRF format) and smrmsg error data files are created.

## Smart Base Processing:

- 1) Open Applanix POSPac<sup>TM</sup> Mobile Mapping Suite and set up the project
- 2) Load the Applanix .000 file (recorded on the launch)
- 3) Select the "Find Base Stations" option which will generate a list of nearby CORS stations and then click on the "Smart Select" button.
- 4) POSPac will need the Internet to access and download the base station data it finds as the best option to import. It will need a minimum of 4 stations as well as adequate ephemeris data to continue. This process is done automatically.
- 5) Once the base stations and ephemeris data have been downloaded, the Raw Data Check-In window will appear automatically, click OK. Once you click OK, POSPac will create a triangulated network of all the base stations it has chosen for processing.
- 6) Next run the SmartBase Quality Check. POSPac will run the quality check to see if the data downloaded is good enough for processing and generate a Results Summary. If the data is inferior, it will recommend to Re-run the SmartBase Quality Check processor or that there is not enough adequate data to continue.
- 7) Due to the remote locations Rainier surveys, sometimes there is not an optimal amount of data available. Occasionally you have to override the system and see if the SBET generated is up to spec. This is done by running the Applanix SmartBase processor.

- 8) Once the Applanix SmartBase processor has finished, the outline of the triangulated network will be highlighted in yellow. This means that you are ready for processing and that the appropriate base stations have been designated and set.
- Batch Processing -- Batch processing allows processing of multiple POS MV .000 files from multiple vessels on a once per day per survey sheet basis.
- POSPac SBET Quality Control -- Once the POSPac project has completed processing successfully, quality control of the SBETs (Smoothed Best Estimated Trajectories) is performed.
- Exporting Custom SBET -- Once the QC is complete and the processing log updated, the next step is to export a custom SBET in NAD83.

For both a Single Base or Smart Base solution, SBETs are applied in CARIS by loading both the SBET files and error data files in smrmsg format. For every SBET file generated during single base station processing there is an associated smrmsg file.

- 1) Process --> Load Attitude/Navigation data... Load the WGS84 SBET files. Import data for Navigation, Gyro, Pitch, Roll, and GPS Height are all selected for survey launches. Only Navigation and GPS Height are selected for the ship.
- 2) Process --> Load Error data... Load the smrmsg error data file. Import data for Position RMS, Roll RMS, Pitch RMS, and Gyro RMS are selected for survey launches. Vertical RMS is not selected since HIPS will default to using the trueheave RMS values. Only Position RMS is selected for the ship.

Precise Point Positioning (PPP) Processing Methodology:

In the event that no base station falls within the 20km limit as is often the case with offshore sheets, and a Precise Point Positioning (PPP) solution utilizing precise ephemeris data is used, SBET and RMS are loaded a follows.

- 1) Process --> Load Attitude/Navigation data... Load the custom SBET files (WGS84). Import data for Navigation and GPS Height are selected for survey launches and the ship.
- 2) Process --> Load Error data... Load the smrmsg error data file. Import data for just the Position RMS, is selected for survey launches and the ship. Vertical RMS is not selected since HIPS will default to using the trueheave RMS values for the launches.

# **C.5 Sound Speed**

# **C.5.1 Sound Speed Profiles**

## **Data Acquisition Methods and Procedures**

Rainier and her launches use Sea-Bird SEACAT conductivity, temperature, and depth profilers (CTD) to acquire sound speed data. Rainier may also use the Rolls-Royce Moving Vessel Profiler (MVP200) to acquire sound speed data.

The Sea-Bird SEACAT conductivity, temperature, and depth profiler (CTD):

All of Rainier's Jensen survey launches (2801, 2802, 2803, and 2804) are equipped with 24-volt electric winches attached to small swing-arm davits. These davits are used to deploy and recover Sea-Bird SEACAT profilers while the vessel is at rest. The rate at which the spool deploys line may be adjusted with friction washers controlled by a knob or T-handle located on the side of the winch spool. Rainier utilizes her oceanographic winch when collecting Sea-Bird SEACAT profiles.

Casts are conducted at least every 4 hours to align with application procedures in HIPS and SIPS. Casts were also conducted when moving to a different survey area, or when conditions evolve (such as a change in weather, tide, or current), so as to warrant additional sound velocity profiles. The launch crew also monitors the real-time display of the Reson SVP 70 for changes of 2 m/s or greater in the surface sound velocity indicative of the need for a new cast.

Velocipy software is used for both setting up and processing data from Sea-Bird SEACAT instruments. Prior to deployment the SEACAT voltage is checked. The SBE 19plus should have a minimum of 9.5 volts and the SBE 19 should have a minimum of 7 volts. In the event of lower voltage readings, the instrument batteries are changed.

The site selected for a CTD cast should be in the deepest portion of the project area expected to be surveyed and that can provide a representative profile. Before the instrument is placed in the water, the Hydrographer must ensure that the plastic tube covering the sensors has been removed.

When conducting SEACAT casts with the SBE 19, the 3-2-1 rule of thumb is followed. The instrument should be turned on and allowed to sit on deck for 3 minutes while the sensors settle and form baseline. The instrument is then set to soak just below the surface for 2 minutes. Finally the instrument is lowered at a rate of 1 meter/second.

When conducting SEACAT casts with the SBE 19plus, the instrument should be lowered and held just below the water's surface for about 1 minute to allow air to escape the salinity cell. After soaking the instrument, it should be lowered at a rate of 1 meter/second through the water column. In areas where lenses of fresh water or other complex sound speed variation near the surface are suspected, the instrument should be lowered slowly (in some cases, much less than 1 meter/second) through the first 5-10 meters of water in order to accurately sample the sound speed. After this initial decent, the instrument should proceed to drop at a rate of 1 meter/second.

The Rolls-Royce Moving Vessel Profiler (MVP200):

The Moving Vessel Profiler (MVP) is an automated winch system that deploys a fish containing a sound speed sensor by free fall. The fish is towed behind the survey vessel in a ready position that is marked by messengers attached to the tow cable. Ideally at survey speeds the fish is "flying" just above the depth of the sonar transducers. The specified depth deployed is selected by specifying a distance off the bottom (typically 10 meters). Once at the depth limit, the winch freefall is automatically stopped and the drag forces on the fish cause it to rise toward the surface due to the ship's forward motion. The cable slack is then pulled in by the winch back to the towing position.

In the event of a particularly deep survey area or prior to the entire survey system being brought on-line, the MVP fish can be manually deployed while the ship is at rest using the hand-operated control box located on the winch. This method ensures that the maximum possible depth is obtained since the cable is deployed vertically. If necessary, during processing of later casts, the deep end of such a stationary cast can be tacked on to the end of shallower casts obtained while the ship is moving.

The MVP fish can either be user-deployed or deployed automatically by the computer at a user defined time interval. Rainier employs the user-deployed method due to the danger of an automatic deployment taking place during a turn. Casts with the MVP are taken as often as every 15 minutes. This high frequency is due to the ease of collecting casts while losing no survey time stopping for a SEACAT cast. Frequent sound speed casts also better define the sound speed variation over the larger horizontal distances covered by the ship since long, straight lines are preferable to minimize turns while the MVP is deployed.

#### Data Processing Methods and Procedures

Downloading and processing of sound speed data is performed using Velocipy, a part of the HSTB supplied Pydro program suite. Both raw and processed CTD files are archived and submitted to the hydrographic branch as part of the sheet submission package.

#### For Seacat CTD:

- After a cast, the SBE Seacat is connected to the download computer with a serial cable.
- After starting Velocipy, "File/ Download from SBE" is selected from the dropdown menu. A window showing available casts is then displayed with checkboxes to select cast(s) for download.
- After download the user is then required to enter cast metadata. Empty slots for Project, Survey, NOAA Unit, Instrument, Username, Process Date, Draft, and Latitude and Longitude are given.
- After entering metadata, the sound velocity graph is viewable by clicking on the SV tab in the Metadata window. The user can change the sound speed/depth units (X and Y buttons), zoom in (Magnifier tool), and take a look/edit cast points (+ button). Additional tabs display the Temperature and Table view.
- Casts are exported into CARIS SVP format files by selecting File/Export Selected Profiles. A File Export Settings window will pop up, allowing the user to point to the CARIS/SVP folder and if necessary append the current cast. After clicking OK, the Log Window should read 'exported sound speed profile successfully'.
- To prepare for the next cast, SEACAT PreCast Setup is selected to clear all memory and initialize the profiler for the next cast.

#### For MVP:

- For the MVP, casts are typically processed as a group at the end of the day or survey watch.
- After starting Velocipy, "File/ Load Profiles" is selected from the dropdown menu. Navigate to the s12 file produced by the MVP and select file/s to process.
- After the files load, the user is then required to enter cast metadata. Empty slots for Project, Survey, NOAA Unit, Instrument, Username, Process Date, and Draft are given. Unlike the Seacat CTD, Latitude and Longitude are already populated.
- After entering metadata, the sound velocity graph is viewable by clicking on the SV tab in the Metadata window. The user can change the sound speed/depth units (X and Y buttons), zoom in (Magnifier tool), and take a look/edit cast points (+ button). Additional tabs display the Temperature, Salinity and Table view.
- Casts are exported into CARIS SVP format files by selecting "File/Export Selected Profiles". A File Export Settings window will pop up, allowing the user to point to the CARIS/SVP folder and if necessary append the current cast. After clicking OK, the Log Window should read 'exported sound speed profile successfully'.

# **C.5.2 Surface Sound Speed**

# **Data Acquisition Methods and Procedures**

Surface sound speed values are measured by a SVP 70 on Rainier and on all Jensen survey launches. These sound speed values are applied in real-time to all MBES systems to provide refraction corrections to flat-faced transducers and are used in active beam steering. Launch 2701 has no surface sound speed sensor.

#### Data Processing Methods and Procedures

Surface sound speed data are not independently processed.

# **C.6 Uncertainty**

## **C.6.1 Total Propagated Uncertainty Computation Methods**

Rainier's primary bathymetric data review and quality control tool are the CARIS CUBE (Combined Uncertainty and Bathymetry Estimator) BASE surfaces as implemented in CARIS HIPS. The CUBE algorithm generates a surface consisting of multiple hypotheses that represent the possible depths at any given position. The BASE surface is a grid of estimation nodes where depth values are computed based on the horizontal and vertical uncertainty of each contributing sounding as follows:

• Soundings with a low vertical uncertainty are given more influence than soundings with high vertical uncertainty.

- Soundings with a low horizontal uncertainty are given more influence than soundings with a high horizontal uncertainty.
- Soundings close to the node are given a greater weight than soundings further away from the node.

As soundings are propagated to a node, a hypothesis representing a possible depth value is developed for the node. If a sounding's value is not significantly different from the previous sounding then the same or modified hypothesis is used. If the value does change significantly, a new hypothesis is created. A node can contain more than one hypothesis. As node-to-node hypotheses are combined into multiple surfaces through methodical processing, a final surface that is the best representation of the bathymetry is created.

Any individual sounding's uncertainty, or Total Propagated Uncertainty (TPU), is derived from the assumed uncertainty in the echosounder measurement itself, as well as the contributing correctors from sound speed, water levels, position, and attitude. TPU values for tide and sound velocity must be entered for each vessel during TPU computation, unless using TCARI, where uncertainty is added directly to survey lines by Pydro.

- Tide values measured uncertainty value error ranges from 0.01m to 0.05 m dependent upon the accuracy of the tide gauges used and the duration of their deployment. Rainier is using a value of 0.0 since the Tide Component Error Estimation section of the Hydrographic Survey Project Instructions now includes the estimated gauge measurement error in addition to the tidal datum computation error and tidal zoning error.
- Tide values zoning (if applicable) is unique for each project area and typically provided in Appendix II of the Hydrographic Survey Project Instructions, Water Level Instructions. In section 1.3.1.1 of the Water Level Instructions, Tide Component Error Estimation, the tidal error contribution to the total survey error budget is provided at the 95% confidence level, and includes the estimated gauge measurement error, tidal datum computation error, and tidal zoning error. Since this tidal error value is given for two sigma, the value must be divided by 1.96 before it can be entered into CARIS (which expects a one sigma value). If TCARI grids are assigned to the project area, this value is set at 0.0 since TCARI automatically calculates the error associated with water level interpolation and incorporates it into the residual/harmonic solutions.
- Measured sound speed value error ranges from 0.5 to 4 m/s, dependent on temporal/spatial variability. Although the FPM recommends a value of 4 m/s when one cast is taken every 4-hours, Rainier experience in the field indicates that a value of 3.0 m/s better models this error. If the ship measures sound speed with the MVP, a value of 1.0 m/s is used due to the higher sampling frequency. In cases where XBT casts are used on a sheet, the recommended value of 4.0 m/s is used.
- Surface sound speed value is dependent on the manufacturer specifications of the unit utilized to measure surface SV values for refraction corrections to flat-faced transducers. The Reson SVP 70 fixed-mount sound velocity probe is affixed to launches 2801 2802, 2803 and 2804 to provide correctors for the flat faced EM2040. A redundant pair of Reson SVP 70s is mounted on Rainier to provide correctors for the EM710. The Reson SVP 70 velocity probe has a published accuracy of 0.05 m/s. A value of 0.0 m/s is used for launch 2701, which has no surface sound speed sensor.
- When a sheet has the requirement to acquire survey data vertically referenced to the ellipsoid, and converted to MLLW using VDatum, the separation uncertainty value replaces the tide zoning value in the calculation of TPU. The separation uncertainty value is included in the Vertical Control Requirements

section of the Project Instructions. If a PMVD – now known as ERTDM (ellipsoidally-referenced tidal datum model) is used, the one-sigma modeled uncertainty for the ERTDM is either included with the ERTDM in the project files, or included in the ERTDM email from HSTB.

All other error estimates are read from the Hydrographic Vessel File (HVF) and Device Model file. The HVF contains all offsets and system biases for the survey vessel and its systems, as well as error estimates for latency, sensor offset measurements, attitude and navigation measurements, and draft measurements. In addition, the HVF specifies which type of sonar system the vessel is using, referencing the appropriate entry from the Device Model file.

In addition to the usual a priori estimates of uncertainty, some real-time and post-processed uncertainty sources were also incorporated into the depth estimates of Rainier surveys. Real-time uncertainties from the Kongsberg EM2040 and Kongsberg EM710 were recorded and applied in post-processing. Applanix TrueHeave files are recorded on all survey vessels, which include an estimate of the heave uncertainty, and are applied during post-processing. Finally, the post-processed uncertainties associated with vessel roll, pitch, gyro and navigation are applied in CARIS HIPS via an SBET RMS file generated in POSPac.

#### **TPU Calculation Methods**

There are two places in CARIS where the user directly defines uncertainty values for use in CARIS to calculate TPU values, in the HVF and the direct input of SV and tide values during the TPU computation.

#### Source of TPU Values

TPU values for all motion, navigation position and timing values are taken directly from Appendix IV (Uncertainty values for use in CARIS with vessels equipped WITH an attitude sensor) of the Field Procedures Manual. All timing values were set to 0.005 seconds as outlined for setups with Ethernet connections and precise timing.

All offset values were chosen to be 0.010 meters based on the accuracy provided by professional surveys.

All MRU alignment values are derived from the patch test. The gyro value is taken directly from the standard deviation of the yaw values. The pitch/roll value is combined as one in the HVF and is computed as the square root of pitch standard deviation squared plus roll standard deviation squared.

For survey launches the vessel speed uncertainty is defined as 0.03 m/s plus an average value (assumed to be 0.05 m/s) for currents for a total of 0.08 m/s. Vessel loading was determined by measuring the waterline of a single launch under a variety of fuel loading conditions (full, empty, and somewhere in between) and the standard deviation calculated. Vessel draft was determined by measuring the waterline 3 times from both the starboard and port side of each launch. The standard deviation was calculated individually for each side and the larger of these two values was selected for the HVF. Vessel delta draft was determined by measuring the standard deviation of the depth for each speed (RPM) in the dynamic draft determination. The largest of these values was selected for the HVF.

For Rainier, the vessel speed uncertainty is defined as 0.03 m/s plus an average value (assumed to be 0.05 m/s) for currents for a total of 0.08 m/s. A vessel loading of 0.025m was used for the ship based on the

recommended value range in the HSSD. The vessel draft of 0.021m was also determined based on the recommended value range in the HSSD. Vessel delta draft was determined by measuring the standard deviation of the depth for each speed (RPM) in the dynamic draft determination. The largest of these values was selected for the HVF.

# **C.6.2** Uncertainty Components

# **A Priori Uncertainty**

Vessel		2701_CV200	S221_Simrad- EM710_ICE	2801_EM2040	)2802_EM2040	)2803_EM2040	)2804_EM2040
Motion Sensor	Gyro Heave Roll	0.05 degrees 5.00% 0.05 meters 0.05 degrees	0.02 degrees 5.00% 0.05 meters 0.02 degrees	0.02 degrees 5.00% 0.05 meters 0.02 degrees	0.02 degrees 5.00% 0.05 meters 0.02 degrees	0.02 degrees 5.00% 0.05 meters 0.02 degrees	0.02 degrees 5.00% 0.05 meters 0.02 degrees
Navigation Sensor	Pitch n	0.05 degrees 2.00 meters	0.02 degrees 1.00 meters	0.02 degrees 1.00 meters	0.02 degrees 1.00 meters	0.02 degrees 1.00 meters	0.02 degrees 1.00 meters

# **Real-Time Uncertainty**

Vessel	Description	
All MBES systems.	As previously discussed in this section, some real-time uncertainty values are incorporated into the depth estimates of Rainier surveys by way of post-processing. Real-time uncertainties from the Kongsberg EM2040 and Kongsberg EM710 are recorded and applied in post-processing. Applanix TrueHeave files are recorded on all survey vessels, which include an estimate of the heave uncertainty, and are applied during post-processing. Finally, the post-processed uncertainties associated with vessel roll, pitch, gyro and navigation are applied in CARIS HIPS via an SBET RMS file generated in POSPac.	
2701_CV200	As previously discussed in this section, some real-time uncertainty values are incorporated into the depth estimates of Rainier surveys by way of post-processing. Applanix True files are recorded on all survey vessels, which include an estimate of the heave uncertainties are applied during post-processing. In addition, the post-processed uncertainties associated with vessel roll, pitch, gyro and navigation are applied in CARIS HIPS with RMS file generated in POSPac.	

#### C.7 Shoreline and Feature Data

# **Data Acquisition Methods and Procedures**

This trackline can later be used to position rocks, foul areas, or kelp and be used to plan the inner bounds of MBES coverage.

Shoreline verification is conducted during daylight periods near predicted MLLW tides of +0.5m or less. A line is run along the shore approximating the position of the Navigational Area Limit Line (NALL). Thick near-shore kelp often dictates the position of the NALL. In the absence of direction to the contrary, the NALL was the furthest offshore of the following:

- The 3.5m depth contour at MLLW.
- A line seaward of the MHW line by the ground distance equivalent to 0.8mm at the scale of the largest scale raster chart of the area.

This definition of the NALL is subject to modification by the Project Instructions, Chief of Party (Commanding Officer), or (in rare instances) Hydrographer-In-Charge of the survey launch. Some likely additional reasons for modifying the position of the NALL included:

- Sea conditions such as kelp or breakers in which it is unsafe to approach the shore to the specified distance or depth.
- Regular use of waters inshore of this limit by vessels navigating with NOAA nautical chart products. (This does not include skiffs or other very small craft navigating with local knowledge.)

As the approximate NALL line is run along the shore, the hydrographer both annotates the shoreline reference document and scans the area for features to be addressed. All features with CARIS Notebook custom attribute "asgnmt" populated with 'Assigned' and offshore of the NALL are fully investigated. 'Assigned' features inshore of the NALL are verified or DP'd for height if exposed but survey vessels do not navigate inshore of the NALL to either disprove or investigate potential submerged 'Assigned' features. Features are addressed in the following manner:

#### Offshore of the NALL:

- A feature found within 2mm at survey scale of the composite source position has its height/depth determined.
- A feature outside 2mm at survey scale of the composite source position has its field position revised in addition to a heights/depth determination.
- Features with any linear dimension greater than 1mm at survey scale are treated as an area and delineated.
- New features not in the Composite Source file.
- Maritime boundary points and other features specifically identified for investigation.

#### Inshore of the NALL:

- Assigned maritime boundary points only if they are safe to approach.
- Navigationally significant features that:

- Are sufficiently prominent to provide a visual aid to navigation (landmarks). Note that rocks awash are almost never landmarks, but distinctive islets or other features visible at MHW can be useful for visual navigation.
- Are significantly (a ground unit distance equivalent to 0.8mm at the scale of the largest scale chart of the area) deflect this limit. Common examples of these features include foul areas and large reef/ledge structures.
- Are man-made permanent features connected to the natural shoreline (such as piers and other mooring facilities) larger than the resolution specified for the survey. Seasonal features will be evaluated by the Command.
- Are man-made permanent features disconnected from the shoreline, such as stakes, pilings, and platforms, regardless of size.

Navigationally Significant features were defined as the following:

Small, private mooring facilities (piers and buoys) suitable for pleasure craft are not generally considered navigationally significant. Areas with a high density of mooring buoys for these vessels are delineated, but the features themselves not individually positioned.

Terminology used for field annotation of the shoreline reference document during shoreline verification is as follows:

#### "Noted"

The existence of a feature and its characteristics are confirmed from a distance, and its position appears to be correct within the scale of the chart or source.

- Appropriate for features inshore of the limit of hydrography and not navigationally significant, significant features that require no further investigation, or features unsafe to approach to verify position within survey scale.
- Noted features are annotated on the shoreline reference document but carried no further forward in the processing pipeline.

#### "Verified"

The feature's position and characteristics are acquired and recorded by directly occupying the site, or by applying a range and bearing offset to a known position. Positioning is generally by DGPS methods.

• Appropriate for navigationally significant features inshore of the limits of hydrography. Also, appropriate for existing features that do not require a height (VALSOU or HEIGHT attribute).

## "DP for Height"

The feature's source position is correct, but height (VALSOU or HEIGHT attribute) is either unknown or incorrect. This position does not supersede that of the source data, so it is only necessary to approach the feature as closely as required to accurately estimate the height.

• Appropriate for source features found within 2mm at survey scale, but with incorrect or missing height or depth data.

#### "New"

The feature's position and attributes (including height) are acquired and recorded by directly occupying the site, or by applying a range and bearing offset to a known position. Positioning is generally by DGPS methods.

• Appropriate for items offshore of the NALL that are not present in the Composite Source.

• Items inshore of the NALL that are navigationally significant and are not present in source data.

#### "Not Seen"

The feature was present in source data but was not visually observed in the field. Full disproval search (see below) was not conducted.

- Appropriate for features above MHW, the absence of which can be proven visually from a distance.
- Source features inshore of the limit of hydrography that are not observed, but whose presence on or absence from the survey will not affect safe navigation.
- Any feature from source that was not seen, but for which full disproval search (see below) is impractical or unsafe.

#### "Disproved"

The feature is present in source data, but was not located after a full search. "Full Search" means MBES, SBES, SSS, and/or Detached Position coverage of the area that conclusively shows that the item is not located at the position given to the accuracy and scale of the source document.

The primary purpose of detached positions (DPs) is to verify and define shoreline features (ex: rocks, reefs ledges, piles), disprove charted features, position navigational aids and landmarks (ex: buoys, beacons, lights), and mark positions of bottom samples. Point features are captured in the field as height attributed targets in HYPACK.

The survey vessel's track may also be used to delineate area features, such as reefs, ledges, or foul areas. Where it is safe to approach these features to within the specified horizontal accuracy requirement, this method can produce a more accurate and efficient representation of large features than would be provided by multiple DPs on the extents.

When using a skiff in combination with RA2, the vessel's track may also be used to position point features. Typically, while driving a buffer-line around the feature in question, the shoreline skiff will loop back around and drive straight towards the feature and approach as close as is safely possible, often with the nose of the skiff nearly touching the feature. The pointing "arrow" that the track-line creates provides a preliminary position of the feature based that can later be georeferenced with the Velodyne LiDAR system on launch 2710.

#### Data Processing Methods and Procedures

Rainier entered into the 2019 field season with the understanding that HSD expected all feature to be delivered reduced to MLLW by way of the ellipse. With no path available for features positioned using the skiff's backpack GPS to be given ellipsoidal heights, RA8 was reduced to confirming the existence of CSF features and finding new rocks to be later georeferenced using the Velodyne LiDAR system on RA2.

Following a day of shoreline verification, the HIC copies the HXXXXX\_Final\_Features\_File.hob used in the skiff in addition to any digital photos taken and the trackline hob file. These file are then placed in the appropriate locations in the working projects directory.

Features collected with the Velodyne LiDAR system are attributed in near real-time and saved in a HYPACK target file. At the end of the day:

- Ensure that the .TGT file is in the correct format, all features need a HYPACK feature code or they will not be recognized as features further down the processing pipeline.
- Import the target file into HYPACK, they will show up as "Targets" under "Project Items".
- Using the HYPACK ENC Editor, create a new chart with proper geographic limits and attribution. In ENC Editor, select the ENC -> Import -> Targets; they will be displayed on the "Import Targets" tab.
- With your new chart highlighted blue, go to the Import Targets tab in ENC Editor. In the Auto Conversion dropdown menu, and select Targets. As individual targets are converted, they will show up as features on your chart. Save the chart as a .000 (s57) file.
- In HYPACK, export the Targets as a CSV file. This step is needed since the feature height information is not included in the S57 file just created.
- In Pydro start SHAM, (the Shoreline Attribution Machine)
- Select Velodyne, ERS and NAD83.
- Point to the .000 (s57) file and .csv file created in the previous steps.
- Point to SBET file
- Select either VDatum or ERTDM and point to appropriate separation file in CSAR format
- Attribute sheet, vessel and last date of acquisition
- Click on the "Start" button
- SHAM processes the provided information and produces a new, fully attributed .000 (s57) file with feature heights computed.

For surveys where limited shoreline verification was performed, DPs and/or CARIS VBES/MBES CUBE surfaces were used to help define kelp and foul areas. Any new line features were digitized in the HXXXXX\_Final\_Features\_File.hob file. If an area feature required modification, a copy of the feature was edited to reflect the current survey and characterized as "new" while the original feature was flagged as "delete". When objects were added or modified as "new", the SORDAT and SORIND fields were updated. All features flagged as "delete" always maintain their original SORDAT and SORIND.

De-confliction of the composite source shoreline was conducted only on items specifically addressed in the field while conducting shoreline verification. As a general rule, nearly all features inshore of the NALL line are not investigated. All conflicting composite source features that are not addressed in the field were left unedited in the final features file HOB.

Composite source features offshore of the NALL which were DPed for height were also de-conflicted if multiple shoreline features were present representing the same item. The source item most closely representing the actual feature was flagged "Primary" and "retain" or "update" if edited for height while the other extraneous features were flagged "Secondary" and "delete" with a comment "removed due to

deconfliction". In the event that a DP was taken to reposition an incorrectly charted feature, all of the composite source features in the wrong position were "Secondary" and "delete".

Primary and secondary flagged features are correlated using the NOAA custom attributes prkyid (Primary Key ID) and dbkyid (Database Key ID). The primary feature has its dbkyid populated with a unique number and any secondary features selected to be linked has its prkyid updated with the same number. The unique number assigned is typically the CARIS Feature Object ID (FOID).

On occasions when the conditions are right, a MBES launch may end up surveying close to the inshore survey limits and end up collecting a significant number of soundings inshore of the NALL. Any additional soundings collected inshore of the NALL were processed as follows:

- "Good" seafloor is not rejected anywhere. Any bad soundings are cleaned out to make the surface represent the seafloor, but there is no cut-off of soundings shoaler than the 4-meter or 0-meter curves. Negative soundings are fine so long as they accurately represent the bottom.
- No launch is to go inside the NALL line trying for the 0-meter curve, or developing items that are found outside the survey limits (i.e. NALL line)
- For cultural features (pilings, piers, buoys and buoy chains, etc.) that are above MLLW (i.e. negative sounding) AND on the CSF HOB layer, all soundings on the cultural item are deleted. This technique will prevent the BASE surface from being pulled up for features already charted above MLLW in the HOB file.
- For cultural features that are below MLLW, the shoalest sounding is designated (which the BASE surface will honor) AND the feature is included on the field verified HOB file.
- For cultural features that are above MLLW and are not on the field verified HOB file, the least depth is flagged as "outstanding," but not included in the BASE surface and all other data on the object is rejected. In this case, the "outstanding" sounding is used as a basis for creating a new feature in the field verified HOB, but it will not affect the BASE surface. This is accomplished by using the option in BASE surface creation to not include outstanding soundings. Alternatively, in the case of area-type cultural features, all depths may be temporarily retained and the resultant DTM used to digitize the feature. Once digitization is complete, all soundings on the cultural item are deleted.
- Rocks and reefs are treated as "seafloor." No data is rejected on rocks, reefs or ledges, even above MLLW. The primary method of getting heights on rocks will remain laser heights using LiDAR, but if a least depth of a rock is obtained with MBES, it will be designated and the height/depth will be used as the VALSOU in the FFF HOB. As previously stated, launches will not go inshore of the NALL line trying to get these data, but it will not be discarded if they are obtained. In cases where the echosounder data does not get the least depth, the soundings obtained will be left in the surface and a DP (or previously acquired comp source data) will be used for the feature.

Following acquisition, digital photos are renamed with an unique ID and moved into the "Multimedia" folder. Any required application of tide and SV corrections are performed in CARIS Notebook. Prior to final survey submission, all images associated with the Final Feature File are renamed using the Pydro program

"Rename FFF Images". This Beta/Experimental program renames all of the FFF images to conform with HTD 2018-5 (Feature Image File Naming Convention).

#### S-57 Attribution

With the advent of custom CARIS support files supplied by OCS, CARIS Notebook, Bathy DataBASE, and Plot Composer now supports feature flags previously available only in Pydro. All feature flagging can now be accomplished in CARIS Notebook while Pydro used for generating reports and performing QC.

Features are selected for investigation by HSD OPS based on distance from MHW. Project Instructions require that "All features with attribute asgnmt populated with 'Assigned' shall be verified even if they are inshore of NALL."

No Rainier launches will venture inshore of the NALL, even for assigned investigation items, if there is a question of safety or potential equipment damage. If the feature in question is exposed, time and height attributes are assigned while driving past. If the feature is not evident while driving the NALL during shoreline verification, a remark of "inshore of NALL not investigated" is made with a recommendation of "Retain as charted".

Feature attribution is completed for all 'Assigned' and any newly discovered items. Unassigned features are left untouched.

Submerged features, such as wrecks and submerged piles designated in CARIS HIPS are also be brought into Notebook for attribution.

All features marked as "primary" are edited to have their object/attribute instances describe each feature as completely as possible. Object attributes assigned to each feature conform to direction located within both the current HSSD and the CARIS "IHO S-57/ENC Object and Attribute Catalogue". S-57 attribution is not required for those features flagged as "secondary" nor for unassigned features.

NOAA specific attribution in Notebook includes "descrp" with a drop-down menu which is edited to reflect the hydrographer recommendations as follows:

- descrp new -- A new feature is identified during survey operations. The hydrographer recommends adding the feature to the chart. Also, in cases in which the geographic position of an existing point feature is modified; the newly proposed feature is characterized as "new", while the original feature is flagged as "delete".
- descrp update -- The feature was found to be portrayed incorrectly on the chart. Update is also used in the case where the feature was found to be attributed incorrectly or insufficiently and is modified to reflect the additional or corrected attribution. Also, for cases in which the geographic extents/position of an existing line feature are modified; the newly proposed feature is characterized as "update".
- descrp delete -- The feature is disproved using approved search methods and guidelines. The hydrographer recommends removing it from the chart. Also, for cases in which the geographic position of

an existing point object is modified; the newly proposed feature is characterized as "new", while the original feature was flagged as "delete".

- descrp retain -- The feature is found during survey operations to be positioned correctly and no additional attribution was required. The hydrographer recommends retaining the feature as charted.
- descrp not addressed -- The feature is not investigated during shoreline acquisition, typically because it is either inshore of the NALL or unsafe to approach. The hydrographer recommends retaining the feature as charted.

Features described as "new" and "update" are updated with the SORIND/SORDAT attribution of the current survey.

Features described as "delete", "retain", and "not addressed" have their SORIND/SORDAT attribution remain unchanged.

# C.8 Bottom Sample Data

## **Data Acquisition Methods and Procedures**

For projects where bottom sample collection is required, HSD Operations provides the field unit with a number of recommended bottom sample sites included as part of the shoreline project reference file (PRF). These proposed sample sites, which are encoded as S-57 SPRINGS, are examined by the command and potentially culled based on the actual depths found during survey operations or added to based on good anchorage positions located by the ship.

Samples are collected by launch using one of the bottom samplers described in the equipment section of this report. Once obtained, samples are analyzed for sediment type and classified with S57 attribution, with the most prevalent sediment type listed first. In the event that no sample is obtained after three attempts, the sample site's NATSUR is characterized as "unknown". Samples are then discarded after field analysis is complete.

2019 DAPR Version 1 NOAA Ship Rainier

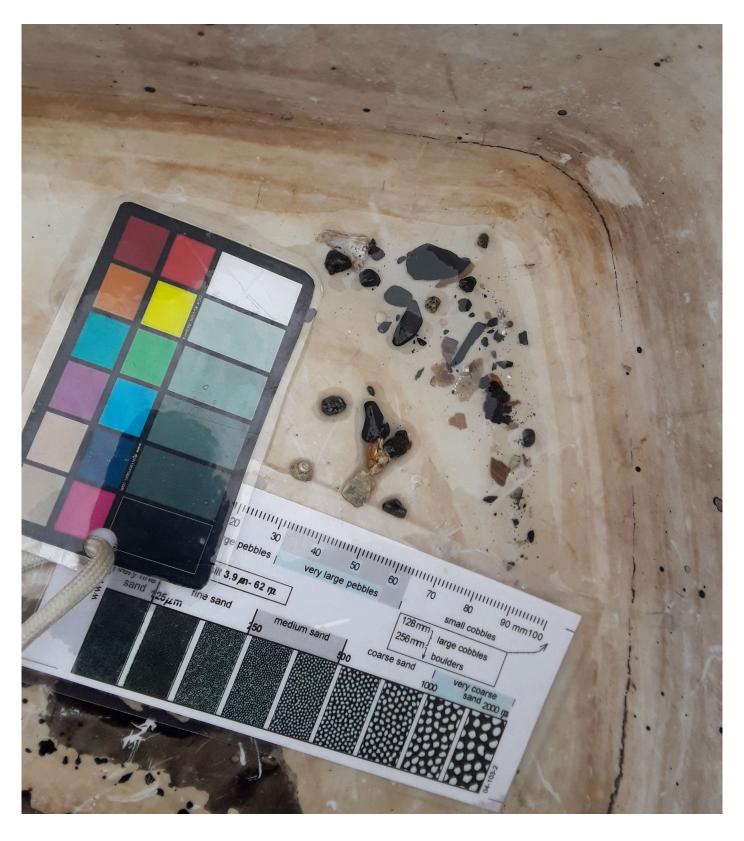


Figure 18: Bottom sample analysis using laminated cards with both color and size to classify a sediment sample

#### **Data Processing Methods and Procedures**

Samples are processed as part of the Final Feature File (FFF). Once obtained, samples are analyzed for sediment color, size, and type. These results, along with the geographic position, are either recorded digitally or simply written down on a piece of paper. Once back at the ship, each sample becomes a S57 seabed area (SBDARE) point feature. The sediment color, size, and type populate the attributes COLOUR, NATQUA, and NATSUR. All other required attributes are classified with appropriate S57 attribution. In the event that no sample is obtained after three attempts, the seabed feature is retained with a remark "Three attempts, no sample" and the NATSUR characterized as "unknown".

#### C.9 Other Data

#### **Data Acquisition Methods and Procedures**

No additional data acquisition methods were utilized.

#### **Data Processing Methods and Procedures**

Initial data processing at the end of each survey day is the responsibility of the Night Processing Team, or Launch Crew if no Night Processing Team is assigned. The Night Processing Team is typically composed of two crew members, one with at least a year's experience, and one junior member in training. Daily processing produces a preliminary product in which all gross data problems have been identified and/or removed, and thus can be used by the Survey Team to plan the next day's operations. The Night Processors complete a data pass down log to inform the Survey Manager and FOO of any notable features or systematic problems in the day's data.

In addition, the Night Processing Team may be assigned to processing and QC checks of POSPac data. Final application of the POSPac data is the responsibility of the HorCon project manager and/or assistants. The HorCon Project Manager and the Sheet Manager work together to ensure SBETs were properly applying to the survey after post acquisition tasks are complete.

Relatively new to the night processing pipeline is Charlene, an automated night processing and data transfer tool developed by NOAA's Office of Coast Survey in early 2017. Night processing includes all of those tasks in between raw data collection and a final daily product that occur each night on our hydrographic vessels. Charlene was adopted as the official processing method for all Rainier hydrographic surveys. Charlene allows the user to:

- 1. Perform verification of raw data
- 2. Build transfer drive and deliverable directory structure
- 3. Transfer and verify raw data
- 4. Process MBES with the CARIS Batch Processor
- 5. Generate SBETs with POSPac Batch

6. Use NOAA tools like AutoQC, QCTools and TCARI

# D. Data Quality Management

# D.1 Bathymetric Data Integrity and Quality Management

## **D.1.1 Directed Editing**

Any CUBE surface created in CARIS includes a number of child layers (uncertainty, hypothesis count, hypothesis strength and standard deviation to name a few) in addition to the depth layer. Through the process of "directed editing" an experienced hydrographer may be able to review and/or edit problematic data not obviously evident by looking the depth layer alone.

Directed editing involves an overview examination of the depth layer in addition to the available child layers to find problems with the data. The hydrographer then jumps to the trouble spots and makes any necessary edits. This processing method makes the assumption that if the surface "looks good" then the underlying data is also good. If a "good" area is examined in subset mode, noise may be present but the CUBE algorithm is doing its job and preventing the surface from being affected. While good at spotting bursts of noise and other data quality issues, directed editing can have issues with finding single sounding fliers that may show up as only a single pixel if at all.

Problem spots in the child layers may be exaggerated by manipulating the colour file in addition to the min/max range. In addition to finding fliers, child layers can also be useful for seeing areas of noisy data not seen in the depth surface due to CUBE doing its job. In addition child layers may cause objects with high hypothesis counts or standard deviation such as wrecks to be easier to spot.

#### **D.1.2 Designated Sounding Selection**

On occasion, the resolution of the CUBE surface may not be sufficient to capture the high point of a feature. In less than 20m of water, any feature where the most probable accurate sounding is shoaler than the CUBE surface by greater than one half the allowable error under IHO S-44 Order 1 is considered inadequately captured by the CUBE surface. In greater than 20m of water, this allowable error is expanded to the full Order 1 error allowance at that depth. By the criteria above, if a sounding is eligible for designation it is not necessarily implied that a sounding must be designated. In general, sounding designation solely to adjust the surface is frowned upon and rarely used. Rather, sounding designation is used only when those soundings are of critical importance, such as in the case of Dangers to Navigation (DTONs).

An exception to this reluctance to designate sounding occurs in the case of point features. Although missed shoal points may occur on irregular shoals or rock pinnacles, man-made features such as piles and wrecks are of particular concern. These features have very slender high points that extend far above the surrounding seafloor as well as the CUBE surface. If a feature has been deemed worthy of inclusion in the final feature file (FFF) and has been ensonified by a MBES system, the shoalest point is flagged "designated" in CARIS.

This designated sounding both eases the import of the feature in question into the FFF and ensures that the exact height and position of the feature is honored in the finalized VR surface to be submitted. During the "finalization" process, the CUBE surface is forced to honor all soundings which have been flagged "designated".

#### **D.1.3 Holiday Identification**

QC Tools included as part of PydroXL 19 contains the tool "Detect holidays" which now largely automates the identification of holidays in bathymetry data sets. To begin holiday finder, the user selects a grid and survey type (object detection or full coverage). Any empty grid nodes ("holes") surrounded by populated nodes are identified. Holidays in VR grids are flagged according to the specifications found in the current NOAA NOS Hydrographic Survey Specifications and Deliverables.

The results of "Detect holidays" are output in a number of different file formats for ease of use regardless of the program used for data analysis. Rainier typically uses the S57 (.000) file that can be opened up in CARIS directly over the surface in question for further analysis.

### **D.1.4** Uncertainty Assessment

QC Tools included as part of PydroXL 19 contains the tool "Grid QA" which now largely automates the computation of grid statistics to ensure compliance to uncertainty and density requirements. The Depth, Uncertainty, Density (if available), and a computed Total Vertical Uncertainty (TVU) QC layer (optional) are used to compute particular statistics shown as a series of plots. The TVU QC is either given to the program in the grid input, or calculated on-the-fly. It is determined by a ratio of uncertainty to allowable error per NOAA and IHO specification.

Grid QA outputs the following plots:

- Histogram of depth; The percentage of nodes per depth group vs depth (entitled "Depth Distribution").
- Histogram of density; The percentage of nodes per density group vs soundings per node (entitled "Data Density").
- Histogram of TVU QC; The percentage of nodes per uncertainty group vs node uncertainty as a fraction of allowable IHO TVU (entitled "Uncertainty Standards").
- Histogram of % resolution; The percentage of nodes per resolution group vs node resolution as a fraction allowable (entitled "Full Coverage" or "Object Detection").

Optional plots include:

- Plot depth vs density; Depth vs soundings per node (entitled "Node Depth vs. Sounding Density").
- Plot depth vs TVU QC; Depth vs node uncertainty as a fraction of allowable IHO TVU (entitled "Node Depth vs. TVU QC").

These plots once generated are analyzed for compliance with the applicable specifications and may be included in a sheet's Descriptive Report as proof of compliance.

#### **D.1.5 Surface Difference Review**

#### **D.1.5.1** Crossline to Mainscheme

Pydro now includes the tool "Compare Grids" which now largely automates the comparison of co-located bathymetry data sets. This tool analyzes the difference between two gridded Depth/Elevation layers in CSAR/BAG format. The CSARs and/or BAGs input may be any combination of variable resolution or raster grids. Output consists of two CSAR grids and three plot files containing summary statistics. One of the CSAR output files contains the simple depth differences in a Diff layer. The other CSAR grid contains the layer fracAllowError, the fraction of the IHO-allowable error. As a quality control (QC) measure, cross-lines with a linear nautical total of at least 4% of mainscheme multibeam lines were run on each survey. Then a CUBE surface was created using strictly the main scheme lines, while a second surface was created using only the crosslines. The differences between these two surfaces are then analyzed using the "Compare Grids" tool. Summary statistics generated using "Compare Grids" are incorporated within the Descriptive Report for each survey.

#### **D.1.5.2 Junctions**

The Pydro tool "Compare Grids" described above can just as easily be used for junction comparisons as it is for cross-line analysis.

#### D.1.5.3 Platform to Platform

No platform to platform comparison is typically conducted as part of the standard sheet processing work flow.

# **D.2** Imagery data Integrity and Quality Management

#### **D.2.1** Coverage Assessment

Side Scan Sonar:

No SSS data were collected for this project.

Backscatter:

Backscatter is processed using Fledermaus FM Geocoder Toolbox (FMGT) and the resulting mosaics examined to ensure they processed correctly. No re-acquisition for backscatter holidays or other issues was conducted.

#### **D.2.2 Contact Selection Methodology**

No SSS data were collected for any 2019 projects and therefore no contacts were selected.

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# E. Approval Sheet

As Chief of Party, I have ensured that standard field surveying and processing procedures were followed during the 2019 field season. All operations were conducted in accordance with the Office of Coast Survey Field Procedures Manual (April 2014 edition), NOS Hydrographic Surveys Specifications and Deliverables (March 2019 edition), and all Hydrographic Technical Directives issued through the dates of data acquisition. All departures from these standard practices are described in this Data Acquisition and Processing Report and/or the relevant Descriptive Reports.

I acknowledge that all of the information contained in this report is complete and accurate to the best of my knowledge.

Approver Name	Approver Title	Date	Signature
Benjamin K. Evans, CAPT/NOAA	Commanding Officer NOAA Ship Rainier	10/10/2019	
James B. Jacobson	Chief Survey Technician NOAA Ship Rainier	10/10/2019	
Hadley A. Owen, LT/NOAA	Field Operations Officer NOAA Ship Rainier	10/10/2019	

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# **List of Appendices:**

Mandatory Report	File		
Vessel Wiring Diagram	2019 Wiring Connections.pdf		
	AML calibration 008614.pdf		
	SBE 19 C0281 23Feb19 Final Cal.pdf		
	SBE 19 P0281 21Feb19 Final Cal.pdf		
	SBE 19 T0281 23Feb19 Final Cal.pdf		
	SBE 19plus C4039 13Dec18 Final Cal.pdf		
	SBE 19plus C4114 29Dec18 Final Cal.pdf		
	SBE 19plus C4306 29Dec18 Final Cal.pdf		
	SBE 19plus C4343 02Dec18 Final Cal.pdf		
	SBE 19plus P4039 05Dec18 Final Cal.pdf		
	SBE 19plus P4114 04Dec18 Final Cal.pdf		
Sound Speed Sensor Calibration	SBE 19plus P4306 05Dec18 Final Cal.pdf		
	SBE 19plus P4343 29Nov18 Final Cal.pdf		
	SBE 19plus T4039 13Dec18 Final Cal.pdf		
	SBE 19plus T4114 29Dec18 Final Cal.pdf		
	SBE 19plus T4306 29Dec18 Final Cal.pdf		
	SBE 19plus T4343 02Dec18 Final Cal.pdf		
	SBE 19plus V2 C7530 14Dec18.pdf		
	SBE 19plus V2 C7530 18Feb19 Final Cal.pdf		
	SBE 19plus V2 P7530 04Dec18 Final Cal.pdf		
	SBE 19plus V2 T7530 14Dec18.pdf		
	SBE 19plus V2 T7530 19Feb19 Final Cal.pdf		
	RA-2 2701.pdf		
	2801 & 2802 ReportWITHimages.pdf		
Vessel Offset	NOAA_2803.pdf		
	NOAA_2804.pdf		
	CFR 83 Ship Survey Report Rev A Survey.pdf		
Position and Attitude Sensor Calibration	2019 POS-MV Calibration and Configuration.pdf		
Echosounder Confidence Check	2019 Reference Surface Comparison.pdf		
Echosounder Acceptance Trial Results	2017 Rainier_Launch_EM2040_Acceptance.pdf		

Mandatory Report	File	
	RA_Ice_TxRx_2014-final.pdf	

Additional Report	File	
2019 Patch Tests Results	2019_Patch Test ALL.pdf	